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| **§ 543.18 - Cage, Vault, Kiosk, Cash and Cash Equivalents** | |  |  |  |  |  |
| **(a)** | **Supervision** |  |  |  |  |  |
| 1. | Is supervision provided as needed for cage, vault, kiosk, and other operations using cash or cash equivalents by an agent(s) with authority equal to or greater than those being supervised? (Observation, inquiry, and review other - e.g., organization chart, department schedules, job description) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(a) |  |
| **(b)** | **Check cashing** |  |  |  |  |  |
| 2. | If checks are cashed at the cage, do controls provide for the security and integrity of check cashing transactions?  For each check cashing transaction, does the agent(s) conducting the transaction:  Verify the patron’s identity? (Observation, inquiry, and review SICS)  (Note: This standard is not applicable if a check guarantee service is used to guarantee the transaction and the procedures required by the check guarantee service are followed.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(1)  (i) |  |
| 3. | If checks are cashed at the cage, do controls provide for the security and integrity of check cashing transactions?  For each check cashing transaction, does the agent(s) conducting the transaction:  Examine the check to ensure it includes the patron’s name, current address, and signature? (Observation, inquiry, and review SICS)  (Note: This standard is not applicable if a check guarantee service is used to guarantee the transaction and the procedures required by the check guarantee service are followed.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(1)  (ii) |  |
| 4. | If checks are cashed at the cage, do controls provide for the security and integrity of check cashing transactions?  For each check cashing transaction, do the agent(s) conducting the transaction:  For personal checks, verify the patron’s check cashing authority and record the source and results in accordance with management policy? (Inquiry, review SICS, and review of supporting documentation)  (Note: This standard is not applicable if a check guarantee service is used to guarantee the transaction and the procedures required by the check guarantee service are followed.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(1)  (iii) |  |
| 5. | When counter checks are issued, is the following included on the check:  The patron’s name and signature? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(2)  (i) |  |
| 6. | When counter checks are issued, is the following included on the check:  The dollar amount of the counter check? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(2)  (ii) |  |
| 7. | When counter checks are issued, is the following included on the check:  Patron’s bank name, bank routing, and account numbers? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(2) (iii) |  |
| 8. | When counter checks are issued, is the following included on the check:  Date of issuance? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(2) (iv) |  |
| 9. | When counter checks are issued, is the following included on the check:  Signature of the agent approving the counter check transaction? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(2) (v) |  |
| 10. | Are the Lines of Credit standards (543.15) satisfied for checks that are not deposited in the normal course of business (held checks)? (Inquiry, review SICS, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(3) |  |
| 11. | When traveler’s checks or other guaranteed drafts, such as cashier’s checks, are presented, does the cashier comply with the examination and documentation procedures as required by the issuer? (Inquiry, review supporting documents, and review other – draft issuer procedures) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(4) |  |
| 12. | If a third party check cashing or guarantee service is used, are the examination and documentation procedures required by the service provider followed, unless otherwise provided by tribal law or regulation? (Observation, inquiry, review SICS and review other – check guarantee contract) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(b)(5) |  |
| **(c)** | **Cage and vault accountability** |  |  |  |  |  |
| 13. | Are all transactions that flow through the cage summarized for each work shift of the cage and supported by documentation? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(1) |  |
| 14. | Are increases and decreases to the total cage inventory verified, supported by documentation, and recorded and does the documentation include the following:  Date and shift? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(2) |  |
| 15. | Are increases and decreases to the total cage inventory verified, supported by documentation, and recorded and does the documentation include the following:  The purpose of the increase/decrease? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(2) |  |
| 16. | Are increases and decreases to the total cage inventory verified, supported by documentation, and recorded and does the documentation include the following:  The agent(s) completing the transaction? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(2) |  |
| 17. | Are increases and decreases to the total cage inventory verified, supported by documentation, and recorded and does the documentation include the following:  The person or department receiving the cage funds (for decreases only)? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(2) |  |
| 18. | Are the cage and vault inventories (including coin rooms) counted independently by at least two agents at the end of each shift during which the activity took place? (Observation and examination of records- Complete Cage Accountability worksheet.)  (Note: Individual counts must be made by the agents to compare for accuracy and maintain individual accountability.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(3) |  |
| 19. | Is the cage and vault inventories (including coin rooms) count attested to by signature, and recorded in ink or other permanent form? (Observation, inquiry, and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(3) |  |
| 20. | Are all variances for cage and vault inventories documented and investigated? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(3) |  |
| 21. | Has the gaming operation established and complied with a minimum bankroll formula to ensure the gaming operation maintains cash or cash equivalents (on hand and in the bank, if readily accessible) in an amount sufficient to satisfy obligations to the gaming operation’s patrons as they are incurred? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(4) |  |
| 22. | Based on the result of the minimum bankroll calculation, does the gaming operation maintain a sufficient bankroll? (Complete the Minimum Bankroll worksheet, compare results to the gaming operation for reasonableness) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(c)(4) |  |
| **(d)** | **Kiosks** |  |  |  |  |  |
| 23. | Are kiosks maintained on the cage accountability? (Observation, inquiry, and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(1) |  |
| 24. | Are kiosks counted independently by at least two agents? (Observation, inquiry, and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(1) |  |
| 25. | Are kiosk counts documented? (Observation, inquiry, and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(1) |  |
| 26. | Are kiosk counts reconciled for each increase or decrease to the kiosk inventory? (Observation, inquiry, and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(1) |  |
| 27. | Are currency cassettes counted and filled by an agent and verified independently by at least one other agent, all of whom must sign each cassette? (Inquiry and observation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(2) |  |
| 28. | Are all currency cassettes secured with a lock or tamper resistant seal and, if not placed inside a kiosk, stored in a secured area of the cage/vault? (Observation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(3) |  |
| 29. | Has the TGRA or the gaming operation (subject to TGRA approval) developed and implemented physical security controls over the kiosks to address forced entry, evidence of any entry, and protection of circuit boards containing programs? (Inquiry, observation, review TGRA approval, and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(4) |  |
| 30. | Has the TGRA or the gaming operation (subject to TGRA approval) developed and implemented procedures for cashless systems to ensure that communications between the kiosk and system are secure and functioning? (Inquiry, review TGRA approval, and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(5) |  |
| 31. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Starting balance dollar amount per financial instrument? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (i) |  |
| 32. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Starting balance number of items per financial instrument? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (ii) |  |
| 33. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Dollar amount per financial instrument issued? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (iii) |  |
| 34. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Number of items per financial instrument issued? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (iv) |  |
| 35. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Dollar amount per financial instrument redeemed? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (v) |  |
| 36. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Number of items per financial instrument redeemed? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (vi) |  |
| 37. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Dollar amount per financial instrument increases? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6) (vii) |  |
| 38. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Number of items per financial instrument increases? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (viii) |  |
| 39. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Dollar amount per financial instrument decreases? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (ix) |  |
| 40. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Number of items per financial instrument decreases? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (x) |  |
| 41. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Ending balance dollar amount per financial instrument? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (xi) |  |
| 42. | Are the following reconciliation reports available upon demand for each day, shift, and drop cycle: (Note: This is not required if the system does not track the information, but system limitation(s) must be noted)  Ending balance number of items per financial instrument? (Inquiry and examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(d)(6)  (xii) |  |
| **(e)** | **Patron deposited funds** |  |  |  |  |  |
| 43. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Is the receipt or withdrawal of a patron deposit documented with a copy given to the patron and a copy remaining in the cage? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(1) | 542.14(c)(1) |
| 44. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Same receipt number on each copy? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (i) | 542.14(c)(2)(i) |
| 45. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Patron’s name and signature? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (ii) |  |
| 46. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Date of receipt and withdrawal? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (iii) |  |
| 47. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Dollar amount of deposit/withdrawal? (Review supporting documentation)  (Note: For foreign currency transactions, include: the US dollar equivalent, the name of the foreign country, and the amount of the foreign currency by denomination) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (iv) |  |
| 48. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Nature of deposit/withdrawal? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (v) |  |
| 49. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Do both copies of the document of receipt or withdrawal contain the following information:  Name and signature of the agent who conducted the transaction? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(2)  (vi) |  |
| 50. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Are procedures established and complied with for front money deposits to:  Maintain a detailed record by patron name and date of all funds on deposit? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(3)  (i) |  |
| 51. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Are procedures established and complied with for front money deposits to:  Maintain a current balance of all patron deposits that are in the cage/vault inventory or accountability? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(3)  (ii) |  |
| 52. | If a gaming operation permits a patron to deposit funds with the gaming operation at the cage, and when patron deposited funds are transferred to a gaming area for wagering purposes, the following standards apply:  Are procedures established and complied with for front money deposits to:  Reconcile the current balance with the deposits and withdrawals at least daily? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(e)(3)  (iii) |  |
| **(f)** | **Promotional payments, drawings and giveaway programs. Questions #53-59 do not apply to payouts for card game promotional pots and/or pools.** |  |  |  |  |  |
| 53. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Are all promotional payments documented to support the cage accountability? (Inquiry and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(1) |  |
| 54. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Are payments above $600 (or lesser amount as approved by TGRA) documented at the time of the payment? (Inquiry, review supporting documentation, and review TGRA approval)  (Note: If applicable, state the lower amount, in the comment section) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2) |  |
| 55. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Does the documentation for payments above $600 (or lesser amount as approved by TGRA) include the following:  Date and time? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2)  (i) |  |
| 56. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Does the documentation for payments above $600 (or lesser amount as approved by TGRA) include the following:  Dollar amount of payment or description of personal property? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2)  (ii) |  |
| 57. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Does the documentation for payments above $600 (or lesser amount as approved by TGRA) include the following:  Reason for payment? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2)  (iii) |  |
| 58. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Does the documentation for payments above $600 (or lesser amount as approved by TGRA) include the following:  Patron’s name and confirmation that identity was verified (drawings only)? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2)  (iv) |  |
| 59. | When payment is made from a promotional payment, drawing, or giveaway disbursed by the cage or any other department, the following standards apply:  Does the documentation for payments above $600 (or lesser amount as approved by TGRA) include the following:  Signature(s) of at least two agents verifying, authorizing, and completing the promotional payment with the patron? (Review supporting documentation)  (Note: For computerized systems that validate and print the dollar amount of the payment on a computer generated form, only one signature is required) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(f)(2)  (v) |  |
| **(g)** | **Chips and tokens** |  |  |  |  |  |
| 60. | Are controls established and procedures implemented to ensure accountability of chip and token inventory that include, but are not limited to, the following:  Purchase? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(g)(1) |  |
| 61. | Are controls established and procedures implemented to ensure accountability of chip and token inventory that include, but are not limited to, the following:  Receipt? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(g)(2) |  |
| 62. | Are controls established and procedures implemented to ensure accountability of chip and token inventory that include, but are not limited to, the following:  Inventory? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(g)(3) |  |
| 63. | Are controls established and procedures implemented to ensure accountability of chip and token inventory that include, but are not limited to, the following:  Storage? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(g)(4) |  |
| 64. | Are controls established and procedures implemented to ensure accountability of chip and token inventory that include, but are not limited to, the following:  Destruction? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(g)(5) |  |
| **(h)** | **Vouchers** |  |  |  |  |  |
| 65. | Are controls established and procedures implemented to:  Verify the authenticity of each voucher redeemed? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(1)  (i) |  |
| 66. | Are controls established and procedures implemented to:  Verify that the patron is paid the appropriate amount (if the voucher is valid)? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(1)  (ii) |  |
| 67. | Are controls established and procedures implemented to:  Document the payment of a claim on a voucher that is not physically available or a voucher that cannot be validated such as a mutilated, expired, lost, or stolen voucher? (Review supporting documentation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(1)  (iii) |  |
| 68. | Are controls established and procedures implemented to:  Retain payment documentation for reconciliation purposes? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(1)  (iv) |  |
| 69. | Are controls established and procedures implemented to:  For manual payment of a voucher of $500 or more, require a supervisory employee to verify the validity of the voucher prior to payment? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(1)  (v) |  |
| 70. | Are vouchers that are paid during a period while the voucher system is temporarily out of operation marked ‘‘paid’’ by the cashier? (Inquiry and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(2) |  |
| 71. | Are vouchers redeemed while the voucher system was temporarily out of operation validated as expeditiously as possible upon restored operation of the voucher system? (Inquiry) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(3) |  |
| 72. | Are paid vouchers maintained in the cashier’s accountability for reconciliation purposes? (Inquiry and observation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(4) |  |
| 73. | Can unredeemed vouchers only be voided in the voucher system by supervisory employees? (Review other – system authorization list) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(5) |  |
| 74. | Does the accounting department maintain voided vouchers, if available? (Inquiry and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(h)(5) |  |
| **(i)** | **Cage and vault access** |  |  |  |  |  |
| 75. | Are controls established and procedures implemented to:  Restrict physical access to the cage to cage agents, designated staff, and other authorized persons? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(i)(1) |  |
| 76. | Are controls established and procedures implemented to:  Limit transportation of extraneous items such as personal belongings, tool boxes, beverage containers, etc., into and out of the cage? (Observation and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(i)(2) |  |
| **(j)** | **Variances** |  |  |  |  |  |
| 77. | Has the gaming operation established a threshold level, at which a variance must be reviewed to determine the cause? (Review SICS)  State the type(s) of variance and threshold level(s) or percentage(s) : \_\_\_\_\_\_\_\_\_ | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(j) |  |
| 78. | Has the gaming operation received TGRA approval for the variance threshold(s)? (Review TGRA approval) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(j) |  |
| 79. | Are reviews of variances exceeding the established threshold(s) documented? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.18(j) |  |