NATIONAL INDIAN GAMING COMMISSION

UN 20 1004

Leaford Bearskin, Tribal Chief Wyandotte Tribe of Oklahoma P.O. Box 250 Wyandotte, Oklahoma 74370

Dear Chief Bearskin:

This letter responds to your request to review and approve the tribal gaming ordinance adopted by the Wyandotte Tribe of Oklahoma (the Tribe) on March 15, 1994, and amended on June 14, 1994. This letter constitutes such approval under the Indian Gaming Regulatory Act (IGRA).

Under the IGRA and the regulations of the National Indian Gaming Commission (NIGC), the Chairman is directed to review ordinances with respect to the requirements of the IGRA and the implementing regulations. Thus, the scope of the Chairman's review and approval is limited to the requirements of the IGRA and the NIGC regulations. Provisions other than those required under the IGRA or the NIGC regulations that may be included in a tribal ordinance are not subject to review and approval. Such approval does not constitute approval of specific games. Also, the gaming ordinance is approved for gaming only on Indian lands as defined in the IGRA.

It is important to note that while we have approved the Tribe's gaming ordinance, the Tribe must still notify the NIGC whether the Tribe will use the NIGC or some other authorized agency to process fingerprint cards for key employees and primary management officials through the Federal Bureau of Investigation. Also, if the Tribe wishes to use the NIGC to process the fingerprint cards, the enclosed Memorandum of Understanding must be executed and returned to the NIGC.

With the Chairman's approval of the Tribe's gaming ordinance, the Tribe is now required to conduct background investigations on its key employees and primary management officials. The NIGC expects to receive a completed application for each key employee and primary management official pursuant to 25 C.F.R. § 556.5(a) and an investigative report on each background investigation before issuing a license to a key employee or primary management official pursuant to 25 C.F.R. § 556.5(b). Thank you for submitting the ordinance of the Wyandotte Tribe of Oklahoma for review and approval. The NIGC staff and I look forward to working with you and the Tribe in implementing the IGRA.

Sincerely yours,

Anthony J. Hope

Anthony J. Hope Chairman

# Enclosure

# WYANDOTTE TRIBAL GAMING ORDINANCE

.

# WYANDOTTE TRIBE OF OKLAHOMA

# WYANDOTTE TRIBAL GAMING ORDINANCE

1. <u>STATEMENT OF POLICY</u>. It is the purpose of this ordinance to provide for the sound regulation of all gaming activities on lands within the jurisdiction of the Wyandotte Tribe, in order to protect the public interest in the integrity of such gaming activities, to prevent improper or unlawful conduct in the course of such gaming activities, to strengthen Tribal self-government and to promote economic self-sufficiency of the Wyandotte Tribe.

2. **<u>DEFINITIONS</u>**. For purposes of this Ordinance:

(a) "Act" means the Indian Gaming Regulatory Act, Pub.L. 100-497, 25 U.S.C. § 2701 et seq.

(b) "Chairman" means the Chairman of the Wyandotte Tribe Gaming Commission established by this ordinance.

(c) "Class II gaming" means Class II gaming as defined in accordance with the Act, 25 U.S.C. § 2703 (7) (A).

(d) "Class III gaming" means Class III gaming as defined in accordance with the Act, 25 U.S.C. § 2703 (8).

(e) "Commission" means the Wyandotte Tribe Gaming Commission established by this ordinance.

(f) "Compact" means a compact entered into by the Wyandotte Tribe and any state which regulates Class III gaming.

(g) "Enterprise" means the Wyandotte Gaming Enterprise established by the Tribe to conduct all gaming operations of the Tribe.

(h) "Executive Director" means the Executive Director of the Wyandotte Tribe Gaming Commission established pursuant to this Ordinance. (i) "Gaming employee" means "gaming employee(s)" of the Enterprise and shall include primary management officials and key employees of the gaming corporation as defined in the Act.

33

(j) "Gaming facilities" means any room or rooms in which Class II gaming or Class III gaming is conducted on the Reservation.

(k) "National Indian Gaming Commission" means the National Indian Gaming Commission established pursuant to 25 U.S.C. § 2704.

(1) "Net revenues" means gross revenues of a Class II Gaming or of a Class III gaming activity less amounts paid out as, or paid for, prizes and total operating expenses including debt service but excluding management fees paid to a management contractor within the meaning of 25 U.S.C. § 2711 (C).

(m) "Ordinance" means this Wyandotte Tribal Gaming Ordinance.

(n) "State" means any State wherein the Wyandotte Tribe conducts Class II or Class III gaming.

(o) "State gaming agency" means any such agency as the State may establish to carry out any regulatory responsibilities under a compact with the Wyandotte Tribe.

(p) "Tribe" means the Wyandotte Tribe.

3. <u>ADOPTION OF COMPACT.</u> Any Compact entered into between the Tribe and a State which is subsequently approved by the Secretary of the Interior and published in the Federal Register is hereby incorporated within and enacted as an integral part of this ordinance with respect to all forms of Class III gaming; provided, however, that nothing in the adoption of the Compact herein shall

be deemed to affect the operation of the Tribe of any Class II gaming, whether conducted within or without the gaming facilities, or to confer upon the State any jurisdiction over such Class II gaming conducted by the Tribe on its reservation.

# 4. AUTHORIZATION FOR GAMING ACTIVITIES.

(a) Forms of Class III gaming authorized. The Tribe may conduct or operate all forms of Class III gaming authorized under any Compact.

(b) <u>Authority for Class gaming.</u> In addition to the forms of Class III gaming authorized under any compact, the Tribe shall be authorized to conduct all forms of Class II gaming within Tribal Indian Country, including without limitation any form of bingo (whether or not electronic, computer, or other technologic aids are used in connection therewith), pull-tabs, lotto, punch boards, tip jars, instant bingo, and other games similar to bingo so long as played at the same location as bingo.

5. <u>COMPLIANCE WITH THE ACT.</u> This Ordinance shall be construed in a manner which conforms to the Act in all respects, and if inconsistent with the Act in any manner the provisions of the Act shall govern.

(a) Limitation on gaming operations. In compliance with 25 U.S.C. § 2710(b)(2)(A), the Tribe shall have the sole proprietary interest and responsibility for the conduct of any gaming activity in Indian Country; provided, however, that nothing herein shall interfere with the exercise by any secured party of its rights under any collateral lease, leasehold mortgage or other financing

agreement with the Tribe to enforce its security interests in the premises on which such gaming activities may be conducted, or to enforce its rights against gross revenue of the Tribe from its gaming activities for the purpose of repayment of the debt obligations of the Tribe to such secured party in accordance with the provisions of such agreements.

(b) <u>Application of net revenues</u>. In compliance with 25
 U.S.C. § 2710(b)(2)(B), net revenues from any gaming activity are not to be used for purposes other than:

- (i) to fund Tribal government operations or programs;
- (ii) to provide for the general welfare of the Tribe and its members;
- (iii) to promote Tribal economic development
  - (iv) to donate to charitable organizations;
  - (v) to help fund operations of local GovernmentAgencies, or
- (vi) any other purpose permitted under the Act.

(c) <u>Annual Audit.</u> In compliance with a U.S.C. § 2710 (b) (2) (c) and (d), all gaming activities shall be subject to an audit by independent certified public accountants, not less than annually, and copies of the annual audit shall be provided to the National Indian Gaming Commission. All contracts for supplies, services, or concessions for a contract amount in excess of \$25,000 annually (except contracts for professional legal or accounting services) relating to Class II or Class III gaming shall be subject to such audits.

(d) <u>Public Safety Standards.</u> In compliance with 25 U.S.C. § 2710(b)(d2)(E), the construction and maintenance of any gaming facilities, and the operation of gaming activities, shall be conducted in a manner which adequately protects the environment and the public health and safety and for that purpose shall comply with the requirements of the Compact and all other applicable health, safety and environmental standards enacted by the Tribe.

6. <u>Licenses for Key Employees</u>. The Tribe shall ensure that the policies and procedures set out in this section are implemented with respect to key employees and primary management officials employed at any Class II gaming enterprise operated on Indian lands:

(a) <u>Definitions</u>. For the purposes of this section, the following definitions apply:

- (i) Key employee means those key employees as defined in any statute or regulation and at a minimum include:
  - 1. A person who performs one or more of the following functions: (1) Bingo caller; (2) Counting room supervisor; (3) Chief of Security; (4) Custodian of gaming supplies or cash; (5) Floor manager; (6) Pit boss; (7) Dealer; (8) Croupier; (9) Approver of credit; or (10) Custodian of gambling devices including persons with access to cash and accounting within such devices.

- 2. If not otherwise included, any other person whose total cash compensation is in excess of \$50,000 per year; or
- If not otherwise included, the four most highly-compensated persons in the gaming operation.
- (ii) Primary management official means:
  - The person having management responsibility for a management contract;
  - Any person who has authority to hire and fire employees or to set up working policy for the gaming operation; or
  - 3. The chief financial officer or other person who has financial management responsibility.

#### (b) Application Forms

 (i) The following notice shall be placed on the application form for a key employee or a primary management official before that form is filled out

by an applicant:

In compliance with the Privacy Act of 1974, following information the is provided: Solicitation of the information on this form is authorized by 25 U.S.C.A. § 2701 et seq. The purpose of the requested information is to determine the eligibility of individuals to be employed gaming operation. The in а information will be used by National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, State, local or foreign law enforcement and regulatory

agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the hiring or firing of an employee, the issuance or revocation of a gaming license, investigations of or activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to hire you in a primary management official or key employee position.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

- (ii) Existing key employees and primary management officials shall be notified in writing that they shall either:
  - Complete a new application form that contains a Privacy Act notice; or
  - Sign a statement that contains the Privacy Act notice and consent to the routine uses described in that notice.
- (iii) The following notice shall be placed on the application form for a key employee or a primary official before that form is filled out by an applicant:

A false statement on any part of your application may be grounds for not hiring you, or for firing you after you begin work. Also, you may be punished by fine or imprisonment. (U.S. Code, Title 18, Section 1001).

- (iv) The Tribe shall notify in writing existing key employees and primary management officials that they shall either:
  - 1. Complete a new application form that contains a notice regarding false statements; or
  - 2. Sign a statement that contains the notice regarding false statements
- (c) <u>Background Investigations</u>
  - (i) The Tribe shall request from each primary management official and from each key employee all of the following information:
    - Full name, other names used (oral and written), social security number(s), birth date, place of birth, citizen ship, gender, all languages (spoken or written);
    - 2. Currently and for the previous five years: business and employment positions held, ownership interests in those businesses, business and residence addresses, and driver's license numbers;
    - 3. The names and current addresses of at least three personal references, including one personal reference who was acquainted with the applicant during each period of residence listed under Paragraph (i)(2) of this section;

- 4. Current business and residence telephone numbers;
- 5. A description of any existing and previous business relationships with Indian tribes, including ownership interests in those businesses;
- 6. A description of any existing and previous business relationships with the gaming industry generally, including ownership interests in those businesses;
- 7. The name and address of any licensing or regulatory agency with which the person has filed an application for a license or permit related to gaming, whether or not such license or permit was granted;
- 8. For each felony for which there is an ongoing prosecution or a conviction, the charge, the name and address of the court involved, and the date and disposition, if any;
- 9. For each misdemeanor conviction or ongoing misdemeanor prosection (excluding minor traffic violations), within ten years of the date of the application, the name and address of the court involved and the date and disposition;

- 10. For each criminal charge (excluding minor traffic charges), whether or not there is a conviction, if such criminal charge is within ten years of the date of the application and is not otherwise listed pursuant to Paragraph (i)(8) or (i)(9) of this section, the criminal charge, the name and address of the court involved and the date and disposition;
- 11. The name and address of any licensing or regulatory agency with which the person has filed an application for an occupational license or permit, whether or not such license or permit was granted;
- 12. A current photograph;
- 13. Any other information the Tribe deems relevant; and
- 14. Fingerprints consistent with procedures adopted by the Tribe according to 25 C.F.R. § 522.2(h); by engaging either a city, county or a State or Tribal law enforcement agency or a private individual with experience in taking fingerprints.
- 15. The Tribe shall conduct an investigation sufficient to make a determination under Subsection (d) below. In conducting a background investigation, the Tribe or its

agent shall promise to keep confidential the identity of each person interviewed in the course of the investigation.

(d) Eligibility Determination. The Tribe shall review a person's prior activities, criminal record, if any, and reputation, habits and associations to make a finding concerning the eligibility of a key employee or primary management official for employment in a gaming operation. If the Tribe determines that employment of the person poses a threat to the public interest or to the effective regulation of gaming, or creates or enhances dangers of unsuitable, unfair, or illegal practices and methods and activities in the conduct of gaming, a tribal gaming operation shall not employ that person.

# (e) <u>Procedures for Forwarding Applications and Reports for</u> <u>Key Employees and Primary Management Officials to the Nation Indian</u> <u>Gaming Commission</u>

- (i) When a key employee or primary management official begins work at a gaming operation authorized by this ordinance, the Tribe shall forward to the National Indian Gaming Commission a completed application for employment and conduct the background investigation and make the determination referred to in Subsection (d) of this section.
- (ii) The Tribe shall forward the report referred to in Subsection (f) of this section to the National Indian Gaming Commission within 60 days after an employee begins work or within 60 days of the

approval of this ordinance by the Chairman of the National Indian Gaming Commission.

- (iii) The gaming operation shall not employ as a key employee or primary management official a person who does not have a license after 90 days.
- (f) Report to the National Indian Gaming Commission
  - Pursuant to the procedures set out in Subsection
    (e) of this section, the Tribe shall prepare and forward to the National Indian Gaming Commission an investigative report on each background investigation. An investigative report shall include all of the following:
    - Steps taken in conducting a background investigation;
    - 2. Results obtained;
    - 3. Conclusions reached; and

4. The basis for those conclusions.

- (ii) The Tribe shall submit, with the report, a copy of the eligibility determination made under Subsection(d) of this section.
- (iii) If a license is not issue to an applicant, the Tribe:
  - Shall notify the National Indian Gaming Commission; and
  - 2. May forward copies of its eligibility determination and investigative report (if

any) to the National Indian Gaming Commission for the inclusion in the Indian Gaming Individuals Records System.

- (iv) With respect to key employees and primary management officials, the Tribe shall retain applications for employment and reports (if any) of background investigations for inspection by the Chairman of the National Indian Gaming Commission or his or her designee for no less than three (3) years from the date of termination of employment.
- (g) Granting a Gaming License.
  - (i) If, within a thirty (30) day period after the National Indian Gaming Commission receives a report, the National Indian Gaming Commission notifies the Tribe that it has no objection to the issuance of a license pursuant to a license application filed by a key employee or a primary management official for whom the Tribe has provided an application and investigative report to the National Indian Gaming Commission, the Tribe may issue a license to such applicant.
- (ii) The Tribe shall respond to a request for additional information from the Chairman of the National Indian Gaming Commission concerning a key employee or a primary management official who is the subject of a report. Such a request shall suspend the 30-

day period under Paragraph (g)(i) of this section until the Chairman of the National Indian Gaming Commission receives the additional information.

If, within the thirty (30) day period described (iii) above, the National Indian Gaming Commission provides the Tribe with a statement itemizing objections to the issuance of a license to a key employee or to a primary management official for whom the Tribe has provided an application and investigative report to the National Indian Gaming Commission, Tribe shall reconsider the the application, taking into account the objections itemized by the National Indian Gaming Commission. The Tribe shall make the final decision whether to issue a license to such applicant.

#### (h) License Suspension

(i) If, after the issuance of a gaming license, the Tribe receives from the National Indian Gaming Commission reliable information indicating that a key employee or a primary management official is not eligible for employment under Subsection (d) above, the Tribe shall suspend such license and shall notify in writing the licensee of the suspension and the proposed revocation.

- (ii) The Tribe shall notify the licensee of a time and a place for a hearing on the proposed revocation of a license.
- (iii) After a revocation hearing, the Tribe shall decide to revoke or to reinstate a gaming license. The Tribe shall notify the National Indian Gaming Commission of its decision.

7. <u>LICENSE LOCATIONS</u>. The Tribe shall issue a separate license to each place, facility or location on Indian lands where Class II and/or Class III gaming is conducted under this ordinance.

8. **PENALTIES.** Any individual who violates any provision of this Ordinance, including the provisions of any Compact incorporated herein, shall be subject to civil penalties including exclusion from employment by any Tribal gaming enterprise, exclusion from attendance at any Tribal gaming facility, exclusion from Wyandotte Indian Country if a non-Indian or, with respect to any person subject to the jurisdiction of the Tribe to impose such fines, a fine of not more than \$500 for each such violation. The Commission established pursuant to this Ordinance shall have the jurisdiction to impose any such penalties on any person within the jurisdiction of the Tribe.

# 9. TRIBAL GAMING COMMISSION.

(a) <u>Establishment of Commission</u>. There shall be established a three member Wyandotte Tribal Gaming Commission consisting of the Chief and two other members, who shall be appointed by the Business

Committee, at least two of whom shall be members of the Tribe, but none of whom shall be employees of the Enterprise, and who shall each serve for a term of three years commencing on the date of their appointment; provided, that, as to the initial members so appointed, one of the initial members appointed shall be designated to serve for an initial term of one year and one of the initial members appointed shall be designated to serve for an initial term of two years. The Chief will be appointed a permanent member and shall be the Chairman of the Commission. The members of the Commission shall serve on a part time basis and the compensation of members of the Commission shall be established by the Tribal Business Committee. Members of the Commission may be removed for cause by a majority vote of the members of the Tribal Business Committee. Vacancies in the Commission must be filled by appointment by the Tribal Business Committee. No member or employee of the Commission shall participate as a player in any gaming activity conducted by the Tribe.

(b) <u>Powers and duties of the Commission.</u> The Commission shall have the following powers and duties:

(i) The Commission shall have primary responsibility for oversight of Tribal gaming operations to assure the integrity of such operations and shall, for that purpose, employ non-uniformed inspectors who may be present in all gaming facilities during all hours of operation and who shall be under the sole supervision of the Commission and not under the

supervision of any management employees of the Such inspectors shall Tribal gaming operations. have unfettered access to all areas of the gaming facilities at all times, and personnel employed by the Enterprise shall for such purposes provide such inspectors access to locked and secure areas of the gaming facilities in accordance with the standards of operation and management promulgated pursuant to Such inspectors shall report to the any Compact. Commission regarding any failure by the Enterprise to comply with any of the provisions of any Compact or this Ordinance and any other applicable laws and ordinances. Inspectors assigned by the Commission may also receive consumer complaints within the gaming facilities and shall assist in seeking voluntary resolution of such complaints. Inspectors appointed by the Commission shall be licensed as gaming employees in accordance with any Compact.

(ii) The Commission may, on its own initiative, investigate any aspect of the operations of the Enterprise in order to protect the public interest in the integrity of such gaming activities and to prevent improper or unlawful conduct in the course of such gaming activities, and shall investigate any report of a failure of the Enterprise to comply

any Compact or this with the provisions of Ordinance and may require the Enterprise to take any corrective action deemed necessary by the Commission upon such terms and conditions as the Commission may determine appropriate. The Commission may compel any person employed by or doing business with the Enterprise to appear before it and to provide such information, documents or other materials as may be in their possession to assist in any such investigation.

- (iii) The Commission shall carry out each of the responsibilities and duties set forth for the Tribal Gaming Agency in any Compact.
  - (iv) The Commission shall prepare a plan for the protection of public safety and the physical security of patrons gaming in each of its facilities, setting forth the respective responsibilities of the Commission, the security department of the Enterprise, any Tribal policy agency, and if appropriate, any State or local policy agency.
    - (v) The Commission shall review and approve floor plans and surveillance systems for each gaming facility and may confer with the State Gaming Agency or other organizations regarding the adequacy of such plans and systems.

- (vi) The Commission shall promulgate, review and revise (as necessary) Standards of Operation and Management for Class III gaming activities in accordance with any Compact.
- (vii) The Commission may issue and revoke licenses for Class III gaming employees in accordance with this Ordinance.
- (viii) The Commission may issue and revoke licenses for Class II gaming employees in accordance with this Ordinance.
  - (ix) The Commission shall establish a list of persons barred from the gaming facilities because their criminal history or association with career offenders or career offender organizations poses a threat to the integrity of the gaming activities of the Tribe.
    - (x) The Commission shall promulgate, review and revise (as necessary) the rules of each game of chance operated by the Tribe pursuant to any Compact and shall in accordance with the provisions of any Compact notify the State Gaming Agency of such rules and of any change in such rules.
  - (xi) The Commission shall enforce the health and safety standards applicable to the gaming facilities of the Enterprise in accordance with section 5 (d) of this Ordinance. Prior to the opening of any

facility for Class III gaming, the Enterprise shall obtain a certificate of compliance from the Commission relating to the Class III gaming facilities. The Commission shall issue a certificate of compliance to the Enterprise upon a determination that the gaming facilities of the Enterprise comply with such standards.

- (xii) The Commission may impose penalties for violations of this Ordinance or any Compact.
- (xiii) The Commission may, in the name of the Tribe, bring any civil action or criminal complaint in the courts of the Tribe, or any competent Federal Court System to enforce the provisions of this Ordinance or to enjoin or otherwise prevent any violation of this Ordinance, the Act or the Compact, occurring where Wyandotte gaming is conducted. The bringing of any such action by the Commission shall not be deemed to be a waiver of suit immunity by the Commission or the Tribe,
  - (xiv) The Commission may receive any complaint from an employee of the Enterprise or any member of the public who is, or claims to be, adversely affected by an act or omission of the Enterprise or any employee thereof which is asserted to violate this Ordinance, or any Compact, and may impose such remedial action as it deems appropriate to bring

the Enterprise into compliance with such provisions. The Commission may for this purpose, in its sole discretion, conduct a hearing and receive evidence with regard to such complaint if it deems an evidentiary proceeding useful in the resolution of the complaint.

- The Commission shall adopt an annual operating (xv)budget which shall be subject to the approval of the Tribal Business Committee and may in accordance with said budget employ such staff from time to time as it deems necessary to fulfill its responsibilities under this Ordinance, and may retain legal counsel, consultants and other professional services including investigative services to assist the Commission with respect to any of the issues over which the Commission exercises jurisdiction. The expenses of the Commission in accordance with such budget shall be assessed against the Enterprise and the Enterprise shall pay such assessments to the Tribe.
- (xvi) The Commission may employ inspectors to conduct background investigations on key employees and primary management officials. Any inspector employed to assist in the background investigations may be assigned to work under and report to the Executive Director. Inspectors employed to conduct

background investigations shall not be licensed as a gaming employee.

(c) <u>Chairman.</u> The Chairman of the Commission or any other member of the Commission acting in the absence of the Chairman may, whenever he deems it necessary to protect the public interest in the integrity of Tribal gaming operations, issue in the name of the Commission any order which the Commission has the power to issue, to the Enterprise or to any employee or contractor of the Enterprise or to any other person within the jurisdiction of the Tribe, to take any action or cease and desist from any action as may be required to protect to the public interest; provided, that such order shall be subject to review by the Commission at its earliest opportunity, whereupon it may be confirmed or vacated by the Commission.

Executive Director. The Commission shall appoint an (d) individual to serve as Executive Director of the Commission to administer its responsibilities as necessary and to oversee inspectors appointed by the Commission as well as such other staff as the Commission may from time to time employ. The Executive Director shall be responsible for coordination of the functions of the Commission with the National Indian Gaming Commission, any state gaming agency and other state and local agencies as necessary. The Chairman may request the Executive Director to conduct a preliminary investigation and render a recommendation to the Commission with respect to background checks of key employees and primary management officials and the grant or denial of any

license, the imposition of any penalty, the investigation of any complaint, or any other action within the jurisdiction of the Commission. The Executive Director shall have the power, in the name of the Commission, to conduct any hearing, investigation or inquiry, compel the production of any information or documents, and otherwise exercise the investigatory powers of the Commission, which the Commission may exercise under this Ordinance.

- (e) Procedures of the Commission.
  - (i) Regular meetings of the Commission may be held upon such notice, or without notice, and at such time and place as shall from time to time be fixed by the Commission. Unless otherwise specified by the Commission, no notice of such regular meetings shall be necessary.
- (ii) Special meetings of the Commission may be called by the Chairman or the Executive Director. The person or persons calling the special meeting shall fix the time and place thereof. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Commission need to be specified in the notice of the meeting.
- (iii) At any meeting of the Commission, a majority of the members then in office shall constitute a quorum for the transaction of business. The vote of a majority of the members present at a meeting at which a quorum is present shall be the act of the

Commission. The Chairman shall preside at all meetings of the Commission unless the Chairman designates another member to preside in his absence.

- (iv) Any action required or permitted to be taken at a meeting of the Commission may be taken without a meeting if all of the members sign written consents setting forth the action taken or to be taken, at any time before or after the intended effective date of such action. Such consents shall be filed with the minutes of the Commission, and shall have the same effect as a unanimous vote or resolution of the Commission at a legal meeting thereof.
- (v) Members of the Commission may participate in a meeting of the Commission by means of conference telephone or similar communication equipment by means of which all persons participating in the meeting can hear each other, and participation in a meeting in such matter by any member does not object at the beginning of such meeting to the holding thereof in such manner, shall constitute presence in person at such meeting.
- (vi) No action of the Commission to impose a penalty pursuant to section 8 of this Ordinance, or to revoke a license for a gaming employee previously issued by the Commission, shall be valid unless the

person affected is given at least seven days notice of the proposed action and the opportunity to appear and be heard before the Commission, either in person or through a representative or legal counsel, and to submit such evidence as the Commission deems relevant to the matter at issue; provided, that if the Commission deems it necessary to protect the public interest in the integrity of the gaming activities, the Commission may take such action with immediate effect as it deems required, shall thereupon provide notice and and an opportunity to be heard to the affected person as soon as is reasonably practicable following such action. Any person who is denied an initial gaming employee license or who is barred from the gaming facilities by action of the Commission may request a hearing before the Commission by written request submitted within thirty days following receipt of notice of the action of the Commission, and the Commission shall thereupon afford an opportunity to appear and be heard before the Commission, either in person or through a representative or legal counsel, and to submit such evidence as the Commission shall either affirm or reconsider its Any hearing conducted under this subdecision. section may at the direction of the Commission be

conducted by the Executive Director or by one or more of the Commission designated by the Commission for that purpose.

(vii) The Commission may adopt such additional procedures and rules as it deems necessary or convenient to govern its affairs and which are consistent with this Ordinance.

# 10. STANDARDS OF OPERATION AND MANAGEMENT.

(a) <u>Class III games of chance.</u> The initial standards of operation and management for games of chance adopted in accordance with any Compact shall be those set forth in any compact or any attachment to a compact.

(b) <u>Class II Games.</u> The Commission may adopt standards of operation and management for Class II games, and pending such adoption, may direct the Enterprise to comply with such standards as the Commission may determine necessary to protect the integrity of such Class II games.

#### 11. PROHIBITED ACTS.

It shall be a violation of this Ordinance for any person to:

(a) Conduct or participate in any Class II or Class III gaming operation within Wyandotte Indian Country other than at the gaming facilities.

(b) Receive, distribute, apply or divert any property, funds, proceeds or other assets of the Enterprise to the benefit of any individual or any other person except as authorized by this

Ordinance and the Resolution of the Tribe establishing the Enterprise.

(c) Tamper with any equipment used in the conduct of Tribal gaming operations with the intent to cause any person to win or lose any wager other than in accord with the publicity announced rules of such gaming operations.

(d) Do any other act in connection with the conduct of the Tribal gaming operations with the intent to affect the outcome of any wager other than in accord with the publicity announced rules of such gaming operations.

(e) To alter or misrepresent the outcome or other event on which wagers have been made after the outcome is made sure but before it is revealed to the players.

(f) To place, increase or decrease a bet or to determine the course of play after acquiring knowledge, not available to all players, of the outcome of the game or any event that affects the outcome of the game or which is the subject of the bet or to aid anyone in acquiring such knowledge for the purpose of placing, increasing or decreasing a bet or determining the course of play contingent upon that event or outcome.

(g) To claim, collect or take, or attempt to claim, collect or take, money or anything of value in or from a gambling game, with intent to defraud, without having made a wager thereon, or to claim, collect or take an amount greater than the amount won.

(h) To place or increase a bet after acquiring knowledge of the outcome of the game or other event which is the subject of the bet, including past-posting and pressing bets.

(i) To reduce the amount wagered or cancel the bet after acquiring knowledge of the outcome of the game or other event which is the subject of the bet, including pinching bets.

(j) To manipulate, with the intent to cheat, any component of an electronic game of chance or gaming device in a manner contrary to the designed and normal operational purpose for the component. Knowingly to use other than coins or tokens approved by the Tribal Gaming Agency or other lawful coin, legal tender of the United States of America, or to use coin not of the same denomination as the coin intended to be used in the gambling game.

(k) To possess, with the intent to use, any device to assist in projecting the outcome of the game, in keeping track of the cards played, in analyzing the probability of the occurrence of an event relating to the game, or in analyzing the strategy for playing or betting to be used in the game.

(1) To use any device or means to cheat, or to possess any such device while at the gaming facility.

#### 12. <u>SERVICE OF PROCESS</u>.

All notices or process made pursuant to this ordinance may be made upon:

Chief Wyandotte Tribe of Oklahoma Post Office Box 250 Wyandotte, OK 74370

#### CERTIFICATION

The foregoing Wyandotte Tribal Alcoholic Beverage Ordinance was duly adopted at a meeting of the Wyandotte Tribal Business Committee held on this <u>15th</u> day of <u>March</u>, 1994, at which <u>members were present constituting the required quorum</u>, by a vote of <u>4</u> for, <u>0</u> against, <u>0</u> abstaining.

Vivian Fink, Sec./Treas. Wyandotte Tribe of Oklahoma

Leaford Bearskin, Chief Wyandotte Tribe of Okla.

Tribal Gaming Commission (location of facility)

#### CERTIFICATION

The foregoing amended Wyandotte Tribal Gaming Ordinance was duly adopted at a meeting of the Wyandotte Tribal Business Committee held on this /// day of 0, 0, 0, 1994, at which 5 members were present constituting the required quorum, by a vote of // for, 0 against, 0 abstaining.

Vivian Fink, Sec./Treas. Wyandotte Tribe of Oklahoma

Leaford Bearskin, Chief Wyandotte Tribe of Okla.

B:\WYANDOTT\GAMING-1.ORD