

Exercise

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| Finding Elements: | Bingo write- up for Performance Step#1 |
| | <p><i>(5) Authorization and signatures.</i></p> <p>(i) At least two agents must authorize, sign, and witness all manual prize payouts above \$1,200, or a lower threshold as authorized by management and approved by the TGRA.</p> <p>TICS 543.8(e)</p> |
| | <p>Review 4 days of bingo paperwork (in Revenue Audit) to determine if bingo agents are following proper procedures for appropriate authorization by signature of payouts over \$1200</p> <p>Review policies and inquire with management to determine threshold level.</p> <p>Observe one payout per shift over \$1200 to determine if the payout is witnessed.</p> |
| | <p>Through a review of four days of bingo paperwork, we found the following:</p> <ul style="list-style-type: none"> • June 16, 2016 – one signature on bingo payout slip for the amount of \$2000 • September 28, 2016 – two payout slips with one signature on bingo payout slip in the amounts of \$2000 and \$5000 • November 02, 2016 - one signature on bingo payout slip in the amount of \$3000 • March 20, 2017 - one signature on bingo payout slip for the amount of \$2000 <p>Further, observed on March 20, 2017 that one person paid out the prize payout and signed the bingo payout slip.</p> |
| | <p>On 03/20/17, we interviewed the Bingo supervisor and were informed that the payout was witnessed from the office and there was every intention to sign the payout slip and it did not get done before paperwork went to Revenue Audit.</p> |
| | <p>Failure to two agents to authorize and witness payouts over \$1200 could result in fraudulent payouts.</p> |
| | <p>Based on review of four days of bingo paperwork, we found that the bingo prize payouts over \$1200 are not being authorized by two agents.</p> |
| | <p>It is recommended that the gaming operation ensure that bingo payouts over \$1200 are authorized by two agents.</p> |