



# Indian Tribal Governments

**ITG NEWS**

Keeping First Nations Informed

## **COURSE DESCRIPTIONS:**

### **1. Office of Foreign Asset Control (OFAC) - OFAC Compliance Issues and Resolution of SIDN Issues,**

*Jeffrey Meyer, Sanctions Compliance & Evaluation, OFAC*

- After a person is identified on the list, what does the casino do?
- They stop the transaction, but how do they proceed.
- What happens to the funds?
- How does the casino process the transaction?
- What about false positives, how does the casino determine if it is a false positive?
- Can the casino pay the patron after the false positive is confirmed or are the funds sent to OFAC and OFAC pays the patron?

### **2. FinCEN issues on CTR and SAR for Casinos,**

*Carl Reisdorf, Senior Enforcement Specialist, Enforcement Division*

- FinCEN Overview
- AML Programs: All Available Information, Risk-Based Approach, and Culture of Compliance
- FinCEN Investigations
- Enforcement Actions
- Hot Topics
- Questions

### **3. SARs used in Criminal Investigations and Asset Forfeiture,**

*Christopher S. Chasse, Special Agent, IRS & Greg Howard, Special Agent*

- Criminal Investigation Use of SARs in cases
- Asset Forfeiture
- Hot Topics
- Questions

### **4. SAR reporting**

*Dominick C. Margarella, FBI Forensic Accountant*

- Be On The Look-Out (BOLO) Items
- Suspicious Activity Trends
- Review Scenarios

### **5. Roles, Responsibilities, Duties and Functions of Tribal Gaming Commissions**

*Lance Vallo, Phoenix Region Director, National Indian Gaming Commission*

This course is designed to instruct gaming commissioners (and prospective commissioners) on commission duties and responsibilities including the role of ethics, auditing, internal control standards, file security, background investigation and licensing per the Indian Gaming Regulatory Act (IGRA.) The course will also focus on the relationships between the commission and the tribal council, casino management, auditing staff, local criminal justice agencies and the National Indian Gaming Commission (NIGC.) Tribal Council members and other tribal government officials and casino management are highly encouraged to attend together in order for the government officials to fully understand the authority placed in the gaming commissioners and to promote successful working relationships between all entities in a tribal gaming operation.