

# Agenda: Advanced Internal Audit Workshop



**NIGC Advanced Internal Audit Workshop**  
**July 19<sup>th</sup> & 20<sup>th</sup>, 2023**  
**Winstar World Casino and Resort; Thackerville, OK**

<b>Day One</b>	<b>8:30</b>	<p style="text-align: center;"><b>Welcome and Networking Activity</b></p> <p style="text-align: center;">Do you want to know who is in the room?</p> <p>Join us for a session to learn about NIGC Audit. Connect with other attendees to develop new relationships to keep in your back pocket as a resource. By the end of this session, you will leave with at least two new contacts to reach out to in the future. Bring your business cards!</p>
	<b>9:00</b>	<p style="text-align: center;"><b>Overview: Internal Audit A to Z Hour!</b></p> <p>You say you missed the internal Audit A to Z bootcamp, or you need a refresher? No worries we have you covered. In this session, we will discuss the knowledge needed to prep you for the sessions to follow. By the end of this session, you will be able to name the four phases of an audit.</p>
	<b>10:00</b>	Break
	<b>10:15</b>	<p style="text-align: center;"><b>Audit Planning: Practice, Practice, Practice</b></p> <p style="text-align: center;">Are you having trouble creating an audit plan or risk assessment?</p> <p>Do you need guidance writing the scope or objective? We will guide you through discussion of these processes and activities providing you with practice in development.</p> <p>By the end of this session, you will leave with three examples written by you to reference.</p>
	<b>12:00</b>	<b>Lunch (On Your Own)</b>
	<b>1:00</b>	<p style="text-align: center;"><b>Fieldwork: Interview, Observations, Documents, Oh My!</b></p> <p style="text-align: center;">You've completed your fieldwork, now what do you do?</p> <p>The session will provide guidance on testing methods, performing interviews, observations, and examinations. There will be activities to provide examples of how to perform the different testing methods and ensuring your work papers and checklists connect to your reports.</p>
	<b>2:00</b>	Break
	<b>2:15</b>	<b>Fieldwork (continued)</b>
	<b>4:30</b>	Day One Wrap-up, Q&A, and Exit Activity
<b>Day Two</b>	<b>8:30</b>	<p style="text-align: center;"><b>Internal Audit Reporting: The Art of Internal Report Writing</b></p> <p>You have completed your field work, let's communicate it out! This session will review the NIGC MICS requirements for internal audit reports. We will provide guidance on the development, documentation, reporting process, and finalizing your internal audit report. Activities will include developing a report of findings, reviewing management responses as part of their corrective action plans, and determining distribution and delivery of the final internal audit report(s).</p>
	<b>10:00</b>	Break
	<b>10:15</b>	<b>Reporting Phase (continued)</b>
	<b>12:00</b>	<b>Lunch (On Your Own)</b>
	<b>1:00</b>	<p style="text-align: center;"><b>Follow-Up Phase: Follow us for Following Up</b></p> <p>This session will provide guidance on the NIGC MICS requirements for follow-up of internal audits. It will provide guidance on planning for the follow-up review, when to complete the follow-up, documents to request, and testing to perform. It will include discussions about unresolved findings and documenting follow-up testing. Activities will include planning an effective follow-up by review of examples of audit findings and consideration of scheduling, performance of testing, and documenting follow-up work to identify whether compliance has been achieved.</p>
	<b>2:00</b>	Break
	<b>3:00</b>	<p style="text-align: center;"><b>Round Table: Agreed Upon Procedures (AUP) Report and Follow-up</b></p> <p>This round table discussion will provide guidance and best practices for review of the agreed upon procedures report. The discussion will also include the importance of conducting follow-ups to the findings identified in the AUP reports.</p>
<b>4:30</b>	Course Close, Q&A, and Evaluations	

*Please note: Changes to this agenda may occur up until the week of the workshop.*