



National Indian Gaming Commission Training Announcement

NIGC.GOV

Event: Introduction to Internal Audit – Boot Camp:

Date/Time: March 15 & 16, 2022, @ 12pm -4pm (EST)

The National Indian Gaming Commission is pleased to announce our 2-day Boot Camp. In response to continuing safety concerns and public health restrictions, this boot camp is offered as a virtual course.

We invite you to attend the “**Introduction to Internal Audit-Boot Camp**” on March 15 & 16, 2022, beginning at 12pm EST and ending at 4pm EST. This is a 2-day event with three one-hour courses offered each day.

This internal audit boot camp is designed for the new Internal Auditor’s and supervisory staff under three years or is a great refresher training for more seasoned audit professionals. Although tailored for auditors, the NIGC highly encourages Tribal Leaders, Executives and Casino Operations staff to take advantage of this virtual course to learn the details of the Internal Audit function.

Internal Audit is important to gaming operations by evaluating and reporting to management and regulators important risks and highlighting where improvements are necessary. Internal Audits can assist in monitoring the effectiveness of the processes and controls to ensure conformance to the applicable standards.

AGENDA:

Date/Time (EST)	Internal Audit Boot Camp	Registration Link
03/15/22	DAY 1	<i>You must register for each course separately.</i>
12:00	Internal Audit Overview	https://www.zoomgov.com/webinar/register/WN_H-ULTY3aSFunXmyltQCu4Q
1:30	Audit Program	https://www.zoomgov.com/webinar/register/WN_dwJcl6ajSd21ZaStEm1GOQ
3:00	Gathering Data, Sampling	https://www.zoomgov.com/webinar/register/WN_zB6zeHdgQk2ThAo0vqrxsA

Date/Time (EST)	Internal Audit Boot Camp	Registration Link
03/16/22	DAY 2	<i>You must register for each course separately.</i>
12:00	Testing, Fieldwork	https://www.zoomgov.com/webinar/register/WN_GFbwtwRhQPqrlp-SodxqSQ
1:30	Elements of Findings	https://www.zoomgov.com/webinar/register/WN_ObMRkvXtQkm4-KuL7ZAmkg
3:00	Report, Management Response, Follow-up	https://www.zoomgov.com/webinar/register/WN_yT_TuBuuTAOzF0sgSQql4Q

Course Descriptions:

Internal Audit Overview

This course will briefly cover the purpose, reporting structure and the importance of the internal audit process. It will cover the audit process and how to better plan for audits.

Audit Program

This section will discuss the purpose of an audit program. How to develop the objective and scope of internal audits to be conducted. It will also cover how to develop an audit program and write audits steps. As well, as go over resources to assist in developing the audit program.

Gathering Data, Sampling

This section will discuss the data that an internal auditor needs to gather to understand the audit and why and how to determine sample size.

Testing, Fieldwork

This section will discuss how to perform the audit steps, including what is considered audit evidence. The course will delve into gathering work papers, how to test for the process, performing observations, and interviews.

Elements of a Finding

This section will discuss elements of a finding, what they are and why understanding these elements are important to determining compliance or non-compliance. It will also explain the resources to use to help develop the elements of a finding.

Report, Management response, Follow-up

This section will discuss what to include in both the original and follow-up reports as well as discussion on management responses and when follow-ups should be completed.

The NIGC is committed to providing an exceptional experience for continued learning and information sharing. The courses will be presented through ZOOM. **You must register for each course separately using the link for each course.** Once registered for a presentation, you will receive a link to enter the course. If you have any questions or need assistance registering for this training, please contact NIGC Training at traininginfo@nigc.gov