

**NATIONAL
INDIAN
GAMING
COMMISSION**

JUN 27 1997

Jack L. Booth, Sr., Mayor
Metlakatla Indian Community
P.O. Box 8
Metlakatla, Alaska 99926

Dear Mr. Booth:

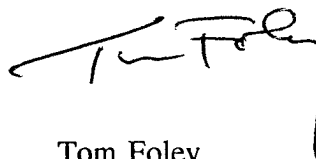
This letter responds to your request to review and approve the tribal gaming ordinance, Resolution No. 97-26, adopted on March 21, 1997, by the Metlakatla Indian Community Council. This letter constitutes such approval under the Indian Gaming Regulatory Act (IGRA).

Under the IGRA and the regulations of the National Indian Gaming Commission (NIGC), the Chairman is directed to review ordinances with respect to the requirements of the IGRA and the implementing regulations. Thus, the scope of the Chairman's review and approval is limited to the requirements of the IGRA and the NIGC regulations. Provisions other than those required under the IGRA or the NIGC regulations that may be included in a tribal ordinance are not subject to review and approval. Also, such approval does not constitute approval of specific games. It is important to note that the gaming ordinance is approved for gaming only on Indian lands as defined in the IGRA.

With the Chairman's approval of the Tribe's gaming ordinance, the Tribe is now required to conduct background investigations on its key employees and primary management officials. The NIGC expects to receive a completed application for each key employee and primary management official pursuant to 25 C.F.R. § 556.5(a) and an investigative report on each background investigation before issuing a license to a key employee or primary management official pursuant to 25 C.F.R. § 556.5(b).

Thank you for submitting the ordinance of the Metlakatla Indian Community for review and approval. The NIGC staff and I look forward to working with you and the Tribe in implementing the IGRA.

Sincerely yours,



Tom Foley
Vice-Chairman

Resolution No. 97-26

APR - 1 1997

BY THE COUNCIL METLAKATLA INDIAN COMMUNITY
ANNETTE ISLANDS RESERVE

WHEREAS, the Metlakatla Indian Community Council is the governing body of the Metlakatla Indian Community, Annette Islands Reserve, Alaska by the authority of the Constitution and By-laws of the Metlakatla Indian Community as approved on August 23, 1944 by the Secretary the Interior; and,

WHEREAS, the Metlakatla Indian Community allows gaming operations on the Reserve subject to the Indian Gaming Regulatory act and regulations by the National Indian Gaming Commission; and,

NOW THEREFORE, BE IT RESOLVED, the Council hereby approves the attached REVISED TITLE 4 CIVIL CODE, CHAPTER 4, GAMING ORDINANCE, dated March 21, 1997; and,

BE IT FURTHER RESOLVED, aid ordinance shall replace all previous gaming ordinances approved by the Council and as stated in SECTION 4 4.9 REPEAL; and,

BE IT FURTHER RESOLVED, the Tribal Gaming Commission is authorized to submit the ordinance to the National Indian Gaming Commission for their review and approval.

Signed & Dated: This 26th day of March, 1997 at Metlakatla, Alaska.

Signed:

Metlakatla Indian Community


Jack L. Booth. Sr., Mayor

ATTEST:


Judith A. Lauth, Secretary

CERTIFICATION

I hereby certify that the foregoing Resolution was duly approved at a meeting of the Council, held on March 21, 1997; at which a quorum was present by a vote of 7 FOR and -0- OPPOSED; the Mayor being authorized to sign said Resolution.

Signed


Judith A. Lauth, Secretary

TITLE FOUR CIVIL CODE
CHAPTER 4
GAMING ORDINANCE
Date March 21, 1997

APR - 1 1997

SECTION FOUR 4.1 PURPOSE.

The Council of the Metlakatla Indian Community ("Council"), empowered by the constitution and bylaws of the Metlakatla Indian Community ("Community"), hereby enacts this ordinance to govern in order to set the terms for class II gaming operation on Community lands.

SECTION FOUR 4.2 GAMING AUTHORIZED.

Class II gaming as defined in the Indian Gaming Regulatory Act, P.L. 100-447, 15 U.S.C. Section 2703(7)(A) ("IGRA") and by the regulations promulgated by the National Indian Gaming Commission at 25 C.F.R. para. 502.3 (as published in the Federal Register at 57 FR 12382-12393, April 9, 1992) is hereby authorized.

SECTION FOUR 4.3 OWNERSHIP OF GAMING.

The Community shall have the sole propriety interest in and responsibility for the conduct of any gaming operation authorized by this ordinance.

SECTION 4 4.4 USE OF GAMING REVENUES

A. Net revenues from class II gaming shall be used only for the following purposes: to fund Community government operations and programs; provide for the general welfare of the Community and its members; promote Community economic development; and donate to charitable organizations; or help fund operations of local government agencies.

B. If the Tribe elects to make per capita payments to Community members, it shall authorize such payments only upon approval of a plan submitted to the Secretary of the Interior under 25 U.S.C. para. 2710(b)(3).

SECTION 4 4.5 AUDIT

A. The Community shall cause to be conducted annually an independent audit of gaming operations and shall submit the resulting audit reports to the National Indian Gaming Commission.

B. All gaming related contracts that result in the purchase of supplies, services, or concession in excess of \$25,000.00 annually, except contracts for professional legal and accounting services, shall be specifically included within the scope of the audit that is described in subsection A. above.

SECTION 4 4.6 PROTECTION OF THE ENVIRONMENT AND PUBLIC HEALTH AND SAFETY

Class II gaming facilities shall be constructed, maintained and operated in a manner that adequately protects the environment and the public health and safety.

SECTION 4 4.7 LICENSES FOR KEY EMPLOYEES AND PRIMARY MANAGEMENT OFFICIALS

The Community shall ensure that the policies and procedures set out in this section are implemented with respect to key employees and primary management officials employed at any class II gaming enterprise operated on Indian lands:

A. Definitions

For the purposes of this section, the following definitions apply:

1. Key employ means

- (a) A person who performs one or more of the functions:
 - (1) Bingo Caller;
 - (2) Counting room supervisor;
 - (3) Chief of security;
 - (4) Custodian of gaming supplies or cash;
 - (5) Floor manager;
 - (6) Pit boss;
 - (7) Dealer;
 - (8) Croupier;
 - (9) Approver of credit; or
 - (10) Custodian of gambling devices including persons with access to cash and accounting records within such devices;
- (b) If not otherwise included, any other person whose total cash compensation is in excess of \$50,000 per year; or
- (c) If not otherwise included, the four most highly compensated persons in the gaming operation.

2. Primary management official means

- (a) The person having management responsibility for a management contract;
- (b) Any person who has authority:

- (1) To hire and fire employees; or
- (2) to set up working policy for the gaming operation; or
- (c) The chief financial officer or other person who has financial management responsibility.

B. Application Forms

1. The following notice shall be placed on the application form for a key employee or a primary management official before that form is filled out by an applicant:

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. 2701 et seq. The purpose of the requested information is to determine the eligibility of individuals to be employed in a gaming operation. The information will be used by National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, Community, State, local or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the hiring or firing of an employee, the issuance or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosure indicated in this notice will result in a tribe's being unable to hire you in a primary management official or key employee positions.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

2. Existing key employees and primary management officials shall be notified in writing that they shall either:

- a. Complete a new application form that contains a Privacy Act notice; or
- b. Sign a statement that contains the Privacy Act notice and consent to the routine uses described in that notice.

3. The following notice shall be placed on the application form for a key employee or a primary official before that form is filled out by an applicant.

A false statement on any part of your application may be grounds for not hiring you, or for firing you after you begin work. Also, you may be punished by fine or imprisonment.

(U.S. Code, title 18, section 1001.)

4. The Community shall notify in writing existing key employees and primary management officials that they shall either:

- a. Complete a new application form that contains a notice regarding false statements; or
- b. Sign a statement that contains the notice regarding false statements.

C. Background Investigations

1. The Community shall request from each primary management official and from each key employee all the following

- a. Full name, other names used (oral or written), social security number(s), birth date, place of birth citizenship, gender, all languages (spoken or written);
- b. Currently and for the previous 5 years: Business and employment positions held, ownership interests in those businesses, business and residence addresses, and drivers license numbers;
- c. The names and current addressees of at least three personal references, including one personal reference who was acquainted with the applicant during each period of residence listed under paragraph (1)(b) of this section;
- d. Current business and residence telephone numbers;
- e. A description of any existing and previous business relationships with Indian tribes, including ownership interests in those businesses;
- f. A description of any existing and previous business relationships with the gaming industry generally, including ownership interests in those businesses;
- g. The name and address of any licensing or regulatory agency with which the person has filed an application for a license or permit related to gaming, whether or not such license or permit was granted;
- h. For each felony for which there is an ongoing prosecution or a conviction, the charge, the name and

address of the court involved and the date and disposition if any;

- i. For each misdemeanor conviction or ongoing misdemeanor prosecution (excluding minor traffic violations), within 10 years of the date of the application, the name and address of the court involved and the date and disposition;
- j. For each criminal charge (excluding minor traffic charges), whether or not there is a conviction, if such criminal charge is within 10 years of the date of the application and is not otherwise listed pursuant to paragraph (1)(h) or (1)(i) of this section, the criminal charge, the name and address of the court involved and the date and disposition;
- k. The name and address of any licensing or regulatory agency with which the person has filed an application for an occupational license or permit, whether or not such license or permit was granted;
- l. A current photograph;
- m. Any of the information the Community deems relevant; and
- n. Fingerprints consistent with procedures adopted by the Community according to 25 C.F.R. para. 522.2(h)

2. The Community shall conduct any investigation sufficient to make a determination under subsection D. below. In conducting a background investigation, the Community or its agency shall promise to keep confidential the identity of each person interviewed in the course of the investigation.

D. Eligibility Determination

The Community shall review a person's prior activities, criminal record, if any, and reputation, habits and associations to make a finding concerning the eligibility of a key employee or primary management official for employment in a gaming operation. If the Community determines that employment of the person poses a threat to the public interest or to the effective regulation of gaming, or creates or enhances dangers of unsuitable, unfair, or illegal practices and methods and activities in the conduct of gaming, a Community gaming operation shall not employ that person in a key employee or primary management official position.

E. Procedures for Forwarding Application and Reports for Key Employees and Primary Management Officials to the National Indian Gaming Commission

1. When a key employee or primary management official begins work at a gaming operation authorized by this ordinance,

the Community shall forward to the national Indian Gaming Commission a completed application for employment and conduct the background investigation and make the determination referred to in subsection D of this section.

2. The Community shall forward the report referred to in subsection F of this section to the National Indian Gaming Commission within 60 days after an employee begins work or within 60 days of the approval of this ordinance by the Chairman of the National Indian Gaming Commission.

3. The gaming operation shall not employ as a key employee or primary management official a person who does not have a license after 90 days.

F. Report to the National Indian Gaming Commission

1. Pursuant to the procedures set out in subsection E of this section, the Community shall prepare and forward to the National Indian Gaming Commission an investigate report on each background investigation. An investigative report shall include all of the following:

- a. Steps taken in conducting a background investigation;
- b. Results obtained;
- c. Conclusions reached; and
- d. The bases for those conclusions.

2. The community shall submit, with the report, a copy of the eligibility determination made under subsection D of this section.

3. If a license is not issued to any applicant, the Community:

- a. Shall notify the National Indian Gaming Commission; and
- b. May forward copies of its eligibility determination and investigative report (if any) to the national Indian Gaming Commission for inclusion in the Indian Gaming Individuals Records System.

4. With respect to key employees and primary management officials, the Community shall retain application for employment and reports (if any) of background investigations for inspection by the Chairman of the National Indian Gaming Commission or his or her designee for no less than three (3) years from the date of termination of employment.

G. Granting a Gaming License

1. If, within a thirty (30) day period after the National Indian Gaming Commission receives a report, the National Indian Gaming Commission notifies the Community that it has no objection to the issuance of license pursuant to a license application filed by a key employee or a primary management official for whom the Community has provided an application and investigative report to the National Indian Gaming Commission, the Community may issue a license to such applicant.

2. The Community shall respond to a request for additional information from the Chairman of the National Indian Gaming Commission concerning a key employee of a primary management official who is the subject of a report. Such a request shall suspend the 30-day period under paragraph G.1. of this section until the Chairman of the National Indian Gaming Commission receives the additional information.

3. If, within the thirty (30) day period described above, the National Indian Gaming Commission provides the Community with a statement itemizing objections to the issuance of a license to a key employee or to a primary management official for whom the Community has provided a application and investigative report to the National Indian Gaming Commission the Tribe shall reconsider the application, taking into account the objection itemized by the National Indian Gaming Commission. The Community shall make the final decision whether to issue a license to such applicant.

H. License Suspension

1. If, after the issuance of a gaming license, the Community receives from the National Indian Gaming Commission reliable information indicating that a key employee or a primary management official is not eligible for employment under subsection D. above, the Community shall suspend such license and shall notify in writing the licensee of the suspension and proposed revocation.

2. The Community shall notify the licensee of a time and a place for a hearing on the proposed revocation of a license.

3. After a revocation hearing the Community shall decide to revoke or to reinstate a gaming license. The Community shall notify the National Indian Gaming Commission of its decision.

SECTION 4 4.8 LICENSE LOCATIONS

The Community shall issue a separate license to each place, facility, or location on Indian lands where class II gaming is conducted under this ordinance.

SECTION 4 4.9 REPEAL

To the extent that they are inconsistent with this ordinance, all prior gaming ordinances are hereby repealed.