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| **§ 543.10 - Card Games**  |  |  |  |  |  |
| **(a) Supervision** |  |  |  |  |  |
| 1. | Is supervision provided as needed during card room operations by an agent(s) with authority equal to or greater than those being supervised? (Observation, inquiry, and review other - e.g., organization chart, department schedules, job description) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(a) |  |
| 2. | If a supervisor is allowed to function as a dealer without any other supervision;Are card game disputes resolved by supervisory personnel independent of the transaction or independent of the card games department? (Inquiry and review SICS)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(a)(1) |  |
| 3. | If a dealer is functioning as a supervisor, is the dealer prohibited from simultaneously dealing the game? (Inquiry and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(a)(2) |  |
| **(b)** | **Exchanges or Transfers** |  |  |  |  |  |
| 4. | Are exchanges between table banks and the main card room bank (or cage, if a main card room bank is not used) authorized by a supervisor and evidenced by the use of a lammer? (Observation, inquiry and review supporting documentation) (Note: Tables maintained at an imprest level with runners used for the exchanges at the table do not require supervisory authorization.) (Note: The use of lammers is not required for exchanges of chips, tokens, and/or cash that take place at the table.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(b)(1) |  |
| 5. | Are exchanges from the main card room bank (or cage, if a main card room bank is not used) to the table banks verified by the card room dealer and the runner? (Observation, inquiry, and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(b)(2) |  |
| 6. | Are transfers between the main card room bank and the cage properly authorized and documented? (Inquiry and review supporting documentation)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(b)(3) |  |
| 7. | Is the documentation evidencing transfers between the main card room bank and the cage retained for at least 24 hours? (Inquiry and review supporting documentation)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(b)(3) |  |
| **(c)** | **Playing cards** |  |  |  |  |  |
| 8. | Are new and used playing cards maintained in a secure location with appropriate surveillance coverage and accessible only to authorized agents? (Observation and inquiry)State the location: \_\_\_\_\_\_\_\_\_\_\_\_\_  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(c)(1) |  |
| 9. | Are used playing cards that are not to be re-used properly cancelled and removed from service to prevent reuse? (Observation, inquiry, and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(c)(2) |  |
| 10. | Has the removal and cancellation procedure been approved by the TGRA? (Review TGRA approval) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(c)(2) |  |
| 11. | Are playing cards associated with an investigation retained intact and outside of the established removal and cancellation procedure? (Inquiry, observation, and review SICS) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(c)(3)  |  |
| **(d)** | **Shill funds** |  |  |  |  |  |
| 12. | Is the issuance of shill funds recorded with the written approval of the supervisor? (Inquiry and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(d)(1) | 542.9(f)(1) |
| 13. | Are returned shill funds recorded and verified by a supervisor? (Inquiry and review supporting documentation)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(d)(2) |  |
| 14. | Is the replenishment of shill funds documented? (Inquiry and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(d)(3) | 542.9(f)(3) |
| **(e)** | **Standards for reconciliation of card room bank** |  |  |  |  |  |
| 15. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Date? (Examination of records-complete Card Games Inventory worksheet) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(1) |  |
| 16. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Shift? (Observation and Examination of records-complete Card Games Inventory worksheet at 15 above.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(2) |  |
| 17. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Table number? (Examination of records-complete Card Games Inventory worksheet at 15 above.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(3) |  |
| 18. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Amount by denomination? (Examination of records-complete Card Games Inventory worksheet at 15 above.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(4) |  |
| 19. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Amount in total? (Examination of records-complete Card Games Inventory worksheet at 15 above.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(5) |  |
| 20. | Do two agents—one of whom must be a supervisory agent— independently count the table inventory at the opening and closing of the table and record the following information: Signatures of both agents? (Examination of records-complete Card Games Inventory worksheet at 15 above.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(e)(6) |  |
| **(f)** | **Posted rules** |  |  |  |  |  |
| 21. | Are card game rules, including rules governing: contests, prize payouts, fees, the rake collected, and the placing of antes displayed or available for patron review? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(f) |  |
| **(g)** | **Promotional progressive pots and pools** |  |  |  |  |  |
| 22. | Are all funds contributed by players into pools returned when won in accordance with posted rules with no commission or administrative fees withheld? (Review other- accounting records for progressive pool payouts and payout documentation)(Note: The payout may be in the form of personal property, such as a car.) (Note: A combination of a promotion and progressive pool may be offered.) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(1) | 542.9(h)(1) |
| 23. | Are the conditions for participating in current card game promotional progressive pots and/or pools prominently displayed or available for patron review at the gaming operation? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(2) |  |
| 24. | Are individual payouts for card game promotional progressive pots and/or pools that are $600 or more documented at the time of the payout to include the following: Patron’s name? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(3)(i) |  |
| 25. | Are individual payouts for card game promotional progressive pots and/or pools that are $600 or more documented at the time of the payout to include the following: Date of payout? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(3)(ii) |  |
| 26. | Are individual payouts for card game promotional progressive pots and/or pools that are $600 or more documented at the time of the payout to include the following: Dollar amount of payout and/or nature and dollar value of any non-cash payout? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(3)(iii) |  |
| 27. | Are individual payouts for card game promotional progressive pots and/or pools that are $600 or more documented at the time of the payout to include the following: The signature of the agent completing the transaction attesting to the disbursement of the payout? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(3)(iv) |  |
| 28. | Are individual payouts for card game promotional progressive pots and/or pools that are $600 or more documented at the time of the payout to include the following: Name of contest/tournament? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(3)(v) |  |
| 29. | If the cash (or cash equivalent) payout for the card game promotional progressive pot and/or pool is less than $600: Is documentation created to support accountability of the bank from which the payout was made? (Review other- accounting records for progressive pool payouts and payout documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(4) |  |
| 30. | Are rules governing current promotional pools conspicuously posted in the card room and/or available in writing for patron review which designate: The amount of funds to be contributed from each pot? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(5)(i) | 542.9(h)(2)(i) |
| 31. | Are rules governing current promotional pools conspicuously posted in the card room and/or available in writing for patron review which designate: The type of hand it takes to win the pool (e.g.: what constitutes a "bad beat")? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(5)(ii) | 542.9(h)(2)(ii) |
| 32. | Are rules governing current promotional pools conspicuously posted in the card room and/or available in writing for patron review which designate: How the promotional funds will be paid out? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(5)(iii) | 542.9(h)(2)(iii) |
| 33. | Are rules governing current promotional pools conspicuously posted in the card room and/or available in writing for patron review which designate: How/when the contributed funds are added to the pools? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(5)(iv) | 542.9(h)(2)(iv) |
| 34. | Are rules governing current promotional pools conspicuously posted in the card room and/or available in writing for patron review which designate: Amount/percentage of funds allocated to primary and secondary pools, if applicable? (Observation and review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(5)(v) | 542.9(h)(2)(v) |
| 35. | Are promotional pool contributions **not** placed in or near the rake circle, in the drop box, or commingled with gaming revenue from card games or any other gambling game? (Observation and inquiry)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(6) | 542.9(h)(3) |
| 36. | Are the amounts of the pools conspicuously displayed in the card room? (Observation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(7) | 542.9(h)(4) |
| 37. | At least once each day the game is offered, is the posted pool amount updated to reflect the current pool amount? (Observation, inquiry, and review supporting documentation)  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(8) | 542.9(h)(5) |
| 38. | At least once each day the game is offered, do agents who are independent of the card room reconcile increases to the posted pool amount to the cash previously counted or received by the cage? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(9) | 542.9(h)(6) |
| 39. | Are all decreases to the pool properly documented, including a reason for the decrease? (Examination of records) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(10) | 542.9(h)(6) |
| 40. | Are promotional funds removed from the card game placed in a locked container? (Observation and inquiry) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(11) | 542.9(i)(1) & 542.9(j)(1) |
| 41. | For the locked container containing promotional funds removed from the card game: Are agents authorized to transport the locked container precluded from having access to the contents keys? (Observation and inquiry) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(11)(i) | 542.9(i)(2) & 542.9(j)(2) |
| 42. | For the locked container containing promotional funds removed from the card game: Is the contents key maintained by a department independent of the card room? (Observation and inquiry) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(11)(ii) | 542.9(i)(3) & 542.9(j)(3) |
| 43. | For the locked container containing promotional funds removed from the card game: At least once a day, is the locked container removed by two agents, one of whom is independent of the card games department, and transported directly to the cage or other secure room to be counted, recorded, and verified, prior to accepting the funds into cage accountability? (Observation and inquiry) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(g)(11)(iii) | 542.9(i)(4) & 542.9(j)(4) |
| **(h)** | **Variances** |  |  |  |  |  |
| 44. | Has the gaming operation established a threshold level, at which a variance must be reviewed to determine the cause? (Review SICS) State the type(s) of variance and threshold level(s) or percentage(s) : \_\_\_\_\_\_\_\_\_  | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(h) |  |
| 45. | Has the gaming operation received TGRA approval for the variance threshold(s)? (Review TGRA approval ) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(h) |  |
| 46. | Are reviews of variances exceeding the established threshold(s) documented? (Review supporting documentation) | \_\_\_\_ | \_\_\_\_ | \_\_\_\_ | 543.10(h) |  |