

<b>ENTITY BACKGROUND</b>	<b>LEVEL 1</b>
	Minimum 5 year business record review that may be extended due to project timeline or the Commission's discretion
	<p><b>Management Contract Entity</b> - This is the entity that is on the management contract.</p> <p><b>Main Operating Entity</b> - controls the operations, pay bills, signs contracts.</p> <p><b>Direct Financial Interest Entities</b></p>
	<b>LEVEL 2</b>
	Minimum 3 year business record review or until previous investigation was completed
	Indirect Financial Interest
	<b>LEVEL 3</b>
	Minimal Financial Review and Background Checks at the Commission's discretion
	<p><b>Flow Through Entities</b> where financial interest passes through to another entity for tax or liability purposes</p> <p><b>Non Control Entities - Trusts/Special Purpose Entities</b></p> <p><b>Institutional Investors</b></p> <p><b>Entities for Class III Only Contracts</b></p> <p><b>Reduced Scope of Investigation</b> - Tribe, Tribal Entity, Bank, Institutional Investor</p>

<b>INDIVIDUAL BACKGROUND</b>	<b>LEVEL 1</b>
	Minimum 5 year financial review with background investigation extended back to 7 years or age 18 at the Commission's discretion
	<p><b>Individuals with Management Responsibility</b> - as designated by the contract or contractor to have management responsibility for the contract.</p> <p><b>Direct Financial Interest</b></p> <p><b>General Manager, CFO, or Other Manager's Onsite Personnel</b></p> <p><b>Inside Director</b> -A director who is an employee, officer or stakeholder in the company.</p>
	<b>LEVEL 2</b>
	Minimum 3 year financial record review or until previous investigation was completed
	<p><b>Independent Director</b> does not have a material relationship with company or related persons</p> <p><b>Indirect Financial Interest</b></p> <p><b>Update of Inside Director</b></p> <p><b>Update of Secondary Individuals with Management Responsibility</b></p>
	<b>LEVEL 3</b>
	Minimal Financial Review and Background Checks at the Commission's discretion
	<p><b>Beneficiaries &amp; Trustees</b></p> <p><b>Update of Independent Director</b></p> <p><b>Update of Minor Financial Interest</b></p> <p><b>Individuals for Class III Contracts</b></p>