










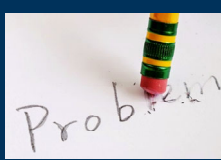





<p>Slide 1</p>	 <p>National Indian Gaming Commission Prepare for the TBIS Review</p>	<p>PARTICIPANT GUIDE Chairman Simermeyer promotes four emphasis areas in the Agency’s work, and he is committed to being more engaged and accountable to the Indian gaming industry and Indian Country.</p> <p><u>Industry Integrity</u> Protecting the valuable tool of Indian gaming that in many communities creates jobs, is the lifeblood for tribal programs, and creates opportunities for tribes to explore and strengthen relationships with neighboring jurisdictions.</p> <p><u>Agency Accountability</u> Meeting the public’s expectation for administrative processes that uphold good governance practices and support efficient and effective decision making to protect tribal assets.</p> <p><u>Preparedness</u> Promoting tribes’ capacity to plan for risks to tribal gaming assets including natural disaster threats, the need to modernize and enhance regulatory and gaming operation workforces, or public health and safety emergencies.</p> <p><u>Outreach</u> Cultivating opportunities for outreach to ensure well-informed Indian gaming policy development through diverse relationships, accessible resources, and government-to-government consultation.</p> <p>This training reinforces these four emphasis areas and the agency’s commitment to the Indian gaming industry and Indian Country.</p>
<p>Slide 2</p>	 <p>National Indian Gaming Commission</p> <ul style="list-style-type: none"> • What is a TBIS review? • Announcement letter and document review • Licensing Review • Tips and tricks • Fingerprints, CHRI, and CJIS 	<p>PARTICIPANT GUIDE</p> <ul style="list-style-type: none"> • What is TBIS? • Announcement letter and document request. • Review process • Tips and tricks • Fingerprints, CHRI and CJIS.
<p>Slide 3</p>	 <p>National Indian Gaming Commission</p> <p>What is TBIS?</p>	<p>PARTICIPANT GUIDE Tribal Background Information System (TBIS) is the gaming application and background investigation process.</p> <p>Parts 556 and 558 can be found at https://nigc.gov/general-counsel/commission-regulations</p>
<p>Slide 4</p>	 <p>National Indian Gaming Commission</p> <p>What is Kiteworks?</p>	<p>PARTICIPANT GUIDE Kiteworks is the approved agency-wide secure file transfer solution for email when a Notice of Results (NOR) report contains Criminal Justice Information (CJI) / Criminal History Record Information (CHRI).</p>

<p>Slide 5</p>	 <p>National Indian Gaming Commission</p> <ul style="list-style-type: none"> • Contact in advance • Remote vs. On Site • Document Request 	<p>PARTICIPANT GUIDE NIGC staff will contact you in advance and work through your concerns with the process.</p>
<p>Slide 6</p>	 <p>National Indian Gaming Commission</p>  <ul style="list-style-type: none"> • Complete applications • Adequate background investigations • Timely submissions • Proper record storage and retention 	<p>PARTICIPANT GUIDE The review determines if the tribe is maintaining complete applications, conducting adequate background investigations, making timely submissions and properly storing / securing licensing files.</p>
<p>Slide 7</p>	 <p>National Indian Gaming Commission</p>  <ul style="list-style-type: none"> • Blank Application • Employee lists from the operation • Complete Files • NOR and IOL notices 	<p>PARTICIPANT GUIDE</p> <ul style="list-style-type: none"> • Blank Application • Employee lists from the operation • Complete Files • NOR and IOL notices
<p>Slide 8</p>	 <p>National Indian Gaming Commission</p> <ul style="list-style-type: none"> • 5-year requirements • References • Tribes v. Gaming Industry • Licenses v. Gaming licenses • Court information to verify dispositions 	<p>PARTICIPANT GUIDE Here are the top TBIS review findings:</p> <ul style="list-style-type: none"> • 5-year requirements • References • Distinction between Indian Tribes and Gaming Industry • Distinction between license and permits for gaming and for occupational license and permits in general • Neglecting to request court information for criminal history <p>Please refer to the handout for this session for the most common TBIS review findings.</p>
<p>Slide 9</p>	 <p>National Indian Gaming Commission</p>  <ul style="list-style-type: none"> • Fingerprints • CHRI • CJIS compliance 	<p>PARTICIPANT GUIDE As part of the TBIS review:</p> <ul style="list-style-type: none"> • NIGC Compliance staff ensure that fingerprints are processed through the NIGC are only for KE/PMO defined under 502.14 (a) - (c) and 502.19 (a) - (c). • Verify logical and physical access to CHRI. • Follow-up on CJIS audit findings and corrective action plan (CAP) implementation.
<p>Slide 10</p>	 <p>National Indian Gaming Commission</p> <p>Questions?</p>	<p>PARTICIPANT GUIDE If you need more information regarding technical assistance and training please contact your region office or email us at traininginfo@nigc.gov</p> <p>Thank you for attending this training. Please take the survey after this event. We value your input and feedback.</p>