

Gaming Application Discrepancies/Omissions

Regulation	Finding:
<p>556.4(a)(2)- Currently and for the previous 5 years: 1 Business and employment positions held, 2 ownership interest in those businesses, 3 business and residence addresses, and 4 driver’s license numbers.</p>	<p>556.4 (a) (2) consists of 4 separate parts: Many applications are omitting some of the parts and/or neglecting to request previous 5 year data. We see this consistently, specifically on the DL #.</p>
<p>556.4(a)(3)- Include one personal reference who was acquainted with the applicant during each period of residence listed under (a)(2)</p>	<p>Many applications are requesting references but omitting this requirement</p>
<p>556.4(a)(5)- A description of any existing and previous business relationships with Indian tribes, including ownership interests in those businesses?</p>	<p>Over time and as applications have been revised/updated, TGRA’s have attempted to combine (a)(5) and (a)(6) and excluded some of the details such as the distinction between Indian Tribes specifically, and gaming industry in general. Additionally, TGRA’s are neglecting to include a request for “ownership interest in those businesses”.</p>
<p>556.4(a)(6)- A description of any existing and previous business relationships with the gaming industry generally, including ownership interests in those businesses?</p>	<p>See comment above</p>
<p>556.4(a)(7)- The name and address of any licensing or regulatory agency with which the person has filed an application for a license or permit related to gaming, whether or not such license or permit was granted?</p>	<p>Over time and as applications have been revised/updated, TGRA’s have attempted to combine (a)(7) and (a)(11) and excluded some of the details such as the distinction between license or permits related to gaming specifically, and occupational license or permits in general. Additionally, TGRA’s are neglecting to request the name and address of the agency.</p>
<p>556.4(a)(11)- Does the tribe request the name and address of any licensing or regulatory agency with which the person has filed an application for an occupational license or permit, whether or not such license or permit was granted?</p>	<p>See comment above</p>
<p>556.4(a)(8) (9) and (10)- The name and address of the court involved?</p>	<p>556.4 (8)(9) and (10) are criminal history related questions. We are finding that TGRAs request the applicant to disclose the criminal history but neglecting to request the specific court information.</p>