

<p>Slide 1</p>		<p>PARTICIPANT GUIDE</p> <p>Chairman Simermeyer promotes four emphasis areas in the Agency’s work. This training reinforces these four emphasis areas and the agency’s commitment to the Indian gaming industry and Indian Country.</p> <p>The NIGC Criminal Justice Information Services (CJIS) Audit Unit (CAU) is responsible for the implementation of the NIGC’s external and internal compliance strategies to achieve and demonstrate compliance with the Memorandum of Understanding (MOU) between the Federal Bureau of Investigation (FBI) and NIGC concerning Noncriminal Justice Fingerprint Submissions. CAU audit staff deliver trainings, technical assistance and conduct selective audits / investigations of those tribes with an executed, suspended, or terminated MOU with the NIGC regarding CHRI.</p>
<p>Slide 2</p>		<p>PARTICIPANT GUIDE</p> <p><u>Memorandum of Understanding with the National Indian Gaming Commission regarding Criminal History Record Information August 2021 V. A. 10.</u> Pursuant to the FBI-NIGC MOU, provision VI (J), “audit the handling and maintenance of [CHRI] in electronic and paper recordkeeping systems to ensure that appropriate security and privacy protections are in place.” Such audits will occur primarily through the use of questionnaires, on-site inquiries and testing, observations, and interviews. At the NIGC’s discretion, audits may include the use of document requests.</p> <p>See https://www.nigc.gov/images/uploads/2021CHRIMOUWithTribesfinal081021.pdf</p>
<p>Slide 3</p>		<p>PARTICIPANT GUIDE</p> <p><u>NIGC Bulletin No. 2021-8</u></p> <p>FY22 costs allocated for processing fingerprints continues to reflect the Commission’s commitment on taking additional measures necessary to ensure compliance with the FBI requirements including funding compliance efforts by hiring new CJIS Auditors/Officers in FY22 to meet FBI CJIS requirements and to remediate findings from an FBI CJIS audit. Taking these necessary measures are not only required but critical to ensure the NIGC and participating tribes can continue to utilize the FBI’s CHRI to determine a key employee or primary management official’s eligibility for a gaming license.</p> <p>See https://www.nigc.gov/images/uploads/bulletins/FY22_Fee_Rate_FP_Fee_Bulletin.pdf</p>
<p>Slide 4</p>		<p>PARTICIPANT GUIDE</p> <p><u>Steven Steiner</u> Deputy CJIS Systems Officer steven.steiner@nigc.gov 503-545-9986</p> <p><u>Amber McDonald</u> CJIS Auditor amber.mcdonald@nigc.gov 651-358-8786</p>

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National Indian Gaming Commission 



Shared Management Philosophy

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CJIS Security Policy 3.1 Shared Management Philosophy

In the scope of information security, the FBI CJIS Division employs a shared management philosophy with federal, state, local, and tribal law enforcement agencies. Although an advisory policy board for the NCIC has existed since 1969, the Director of the FBI established the CJIS APB in March 1994 to enable appropriate input and recommend policy with respect to CJIS services. Through the APB and its Subcommittees and Working Groups, consideration is given to the needs of the criminal justice and law enforcement community regarding public policy, statutory and privacy aspects, as well as national security relative to CJIS systems and information. The APB represents federal, state, local, and tribal law enforcement and criminal justice agencies throughout the United States, its territories, and Canada.

The FBI has a similar relationship with the Compact Council, which governs the interstate exchange of criminal history records for noncriminal justice purposes. The Compact Council is mandated by federal law to promulgate rules and procedures for the use of the Interstate Identification Index (III) for noncriminal justice purposes. To meet that responsibility, the Compact Council depends on the CJIS Security Policy as the definitive source for standards defining the security and privacy of records exchanged with noncriminal justice practitioners.

See <https://www.fbi.gov/services/cjis/cjis-security-policy-resource-center>

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- Training
- Technical assistance
- Selective audits
- Investigations

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CAU audit staff deliver trainings, technical assistance and conduct selective audits / investigations of those tribes with an executed, suspended, or terminated MOU with the NIGC regarding CHRI.

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SAT / LASO Training

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Compliance Update

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5.2 Policy Area 2: Security Awareness Training

Security training is key to the human element of information security. All users with authorized access to CJIS should be made aware of their individual responsibilities and expected behavior when accessing CJIS and the systems which process CJIS. LASOs require enhanced training on the specific duties and responsibilities of those positions and the impact those positions have on the overall security of information systems.

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National Indian Gaming Commission

2021 CHRI MOU V. B. 13

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Compliance Update

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Memorandum of Understanding with the National Indian Gaming Commission regarding Criminal History Record Information August 2021
V. A. 4. Provide the TGRA with a monthly accounting and assessment of fingerprint fees due by the [date] of every month.

V. B. 9. Set forth on the Notice of Results (NOR), the job title or position of the KE or PMO so that the NIGC may confirm that such job title/position comes within the perimeters for the NIGC to request CHRI from the FBI.

V. B. 13. Notify the NIGC, on a monthly basis, of the following licensing information associated with the dissemination of CHRI for a fingerprinted applicant that does not result in a submission of a NOR: a) the reason for the fingerprint submission and b) if the submission was in error, the steps taken to correct the process that created the error.

See

<https://www.nigc.gov/images/uploads/2021CHRIMOUWithTribesfinal081021.pdf>

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NIGC CJIS Resource Page

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<https://www.nigc.gov/compliance/CJIS-Training-Materials>

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The NIGC has spent the last year providing CJIS training and has a multitude of resources are available at <https://www.nigc.gov/compliance/CJIS-Training-Materials>

If you need technical assistance and training please contact your region office, email us at traininginfo@nigc.gov