

Course Descriptions



The Regional Training Course is designed around information and knowledge sharing dealing with current and ongoing issues and concerns in Indian Gaming, critical learning areas for compliance, and new and trending changes in regulation. Infused with real time information, current opportunities and ground breaking tools, the course provides all attendees flexible and relevant learning options. The course is designed for novice and veteran staff. The course will offer instruction in the following content areas:

Day 1 – All Participants

PORT Regional Guidance on the Issues

The course is a panel discussion of the issues within the region. Participants will gain an understanding and discuss solutions surrounding issues they face in their region. It will highlight regional performance with comparative statistics to better gain an understanding of trends in the region. Topics will include the following: compliance issues with recommendations, legal issues with recommendations and audit issues with recommendations.

518 Self-Regulation

An update surrounding the self-regulation processes. The course will explain the changes, from the previous mechanism, and give clear instruction and guidance to tribes interested in seeking self-regulation over their class II gaming. It will explore the general process and steps needed to both be ready for self-regulation, and to ensure the process can be followed to successful completion.

The Power of Observation: What Pre-Criminal Behavior Looks Like

It's likely we've all heard a person on the news talking about a criminal that has just been caught and saying, "I knew something didn't seem right about him [or her]". It's actually very common because of a few simple factors. Cues that seem very obvious after the fact may go unrecognized or may be misunderstood. Even cues that do stand out are often minimized by a variety of the observer's own biases. This session will not only share many of the key indicators of deviant behavior but also how they can be seen from the unique perspective of a surveillance camera.

Human Trafficking

Subject matter experts from the Bureau of Indian Affairs, U.S. Department of the Interior will provide the latest information on what Human Trafficking is and how to detect and combat this pervasive criminal activity.

Active Shooter Training

Law Enforcement personnel from the Federal Protective Services, U.S. Department of Homeland Security will provide expert advice in developing policies and procedures for an active shooter situation.

Course Descriptions



Day 2

| Track 1 | Track 2 |
|---|--|
| <p><u>Internal Audit: A to Z Approach</u> Internal Audit is an integral component toward protecting the assets of the gaming operation by examining policies and procedures, testing internal controls and monitoring compliance with policies and regulations. The Internal Audit function can provide information to improve your operation’s internal controls. This is a 2-day course that will discuss the role internal audit plays along with the Tribal Gaming Regulatory Agency (TGRA) and Gaming Operation Management in the protection of assets. Additionally, the importance of planning, testing, documenting and reporting of the internal audit work.</p> | <p><u>Detecting Gamesmanship: A Practical Approach</u> The focus of this course is to provide TGRAs with tools to determine if Gamesmanship is occurring, to identify additional steps to be taken and documents to request, and to understand what steps can be taken to prevent gamesmanship at their properties. Attendees will learn how to review their gaming ordinance and regulations to identify sections that provide the needed authority to request and review contracts and agreements for violations of the Indian Gaming Regulatory Act (IGRA).</p> <p><u>How to Audit to 543.20</u> This course will review all sections of CFR543.20 and explore some of the problems identified during an NIGC IT Audit. The class provides real world examples of vulnerabilities documented by the IT Audit team and offers best practices to utilize as a guideline for assuring your operations and IT security.</p> <p><u>NIGC Legal Opinions</u> This course describes the three most common legal opinions requested of, and issued by, the NIGC’s Office of General Counsel (OGC): declination letters, Indian lands opinions and gaming opinions It also describes the process for obtaining a legal opinion from OGC: from submitting a written request, to receiving a written opinion, and everything that happens in between.</p> |

Course Descriptions



Day3

| Track1 | Track 2 |
|---|---|
| <p><u>Internal Audit: A to Z Approach</u> Internal Audit is an integral component toward protecting the assets of the gaming operation by examining policies and procedures, testing internal controls and monitoring compliance with policies and regulations. The Internal Audit function can provide information to improve your operation’s internal controls. This is a 2-day course that will discuss the role internal audit plays along with the Tribal Gaming Regulatory Agency (TGRA) and Gaming Operation Management in the protection of assets. Additionally, the importance of planning, testing, documenting and reporting of the internal audit work.</p> | <p><u>Requirements of IGRA and Pitfalls of Non-Compliance</u> Participants will learn how to avoid enforcement actions through compliance. The Course will give participants an overview of the requirements of IGRA and how non-compliance with one or more of these requirements can be a violation of IGRA. Participants will learn what happens when an IGRA violation is identified by the NIGC. The Course provides an overview of the NIGC’s enforcement process; the remedies available to the NIGC for a violation of IGRA; and the steps that can be taken by a tribe or 3rd party to overcome an IGRA violation and avoid an enforcement action.</p> <p><u>Drop and Count – Class II</u> During this presentation, discussion will revolve around 543.17 MICS specific to the drop and count process. Topics will include, but are not limited to: card game drop, count room controls, key control standards, emergency drop and count procedures and player interface and financial instrument storage drop and count procedures. Attendees will gain an enhanced understanding of the inherent risks associated with the drop and count process and how the 543.17 MICS are intended to mitigate those risks.</p> <p><u>Auditing Revenue – Class II</u> Participants will discuss 25 CFR part 543.24, MICS, specific to auditing revenue for Class II facilities. Topics will include, but not limited to: supervision, independence requirements of auditors, and documentation requirements across the various cash relevant areas of 543.</p> |

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