



NIGC TRAINING ANNOUNCEMENT  
WASHINGTON DC REGION  
PEARL RIVER RESORT  
CHOCTAW, MISSISSIPPI  
SEPTEMBER 11 and 12, 2012

The National Indian Gaming Commission (NIGC), Washington, DC Region is pleased to announce a training opportunity for Tribal Gaming Regulatory Authorities, Casino Compliance Personnel and Casino Operators in Choctaw, Mississippi September 11 and 12, 2012.

The training program will be held at the Pearl River Resort at the Mississippi Band of Choctaw Indians in Choctaw, Mississippi. The facility is located at 13550 Highway 16 West, Choctaw, MS 39350. Rooms are available at the Pearl River Resort for attendees of this training. Please refer to the group code GNIGCT2 when make your reservations. Reservations can be made by contacting Pearl River Resort at (866) 447-3275. More information regarding the facility may be found online at [www.pearlriverresort.com](http://www.pearlriverresort.com).

As always, there is no fee for attendance at this NIGC training. We do require that each attendee pre-register for the course. The registration form is included with this announcement. Please refer to the registration section of this announcement for more information on the registration process. Please submit all registration by August 24, 2012.

Course attendees are responsible for their own travel and lodging costs and arrangements.

The course of instruction will begin on Tuesday, September 11, 2012 promptly at 8:00 am.

## TRAINING TOPICS

### **DAY ONE – Tuesday, September 11, 2012:**

- **Internal Audit – An Overview** – This presentation will discuss the value of the internal audit process at an overview level. We will generally review the professional standards that guide the internal audit profession. Additional topics will include independence, objectivity and the consulting services aspect of the function. The internal audit's role in improving the organization's risk management, control and governance processes will be highlighted. Attendees will gain an enhanced appreciation for the internal audit function and understanding of how it provides value to the gaming enterprise.
- **Internal Audit** - This presentation will discuss the MICS specific to the internal audit process. Topics will include, but not be limited to: personnel independence, audits required to be performed annually, audits required to be performed semi-annually, audit follow-up, documentation and reports, and the role of management. Case studies will be reviewed based on the experience of the Audit Division of how internal audit departments have contributed to the effectiveness and efficiency of their respective gaming operation(s). Attendees will gain an enhanced understanding of the MICS specific to internal audit and their benefits.
- **Information Technology:** We will discuss the MICS specific to the IT process. Topics will include, but not be limited to: physical access and maintenance controls, system parameters, user/service/default accounts, administrative access, backups and record keeping (including electronic storage), network security (including remote access), changes to production environment, and the IT department and in-house developed and purchased systems. Case studies will be reviewed based on the experience of the Audit Division of how deficient internal control systems have contributed to the occurrence of irregularities. Attendees will gain an enhanced understanding of the vulnerabilities associated with IT and how the MICS are intended to mitigate those risks.
- **Changes to NIGC Fee Regulations** – On February 2, 2012, the National Indian Gaming Commission published a final rule for 25 CFR §514 – Fees. This regulation will become effective on October 1, 2012 and contains significant changes to the current regulation now in effect. This training presentation will explain the changes and additions to the regulation and provide guidance on the proper preparation of the annual fee worksheets. In addition, the submission requirements of both annual fees and fingerprint fees will be discussed.

## **DAY TWO – Wednesday, September 12, 2012**

- **Resources for Tribal Gaming Regulators** - A course offered to tribal gaming regulators and operations personnel. It will explore the general testing process of gaming and associated equipment, highlight common issues faced by gaming regulators, and share strategies on effectively regulating gaming technology. Presentation includes videos and a class participation workshop for hands on experience.
- **Gaming Forensics** - A course offered to tribal gaming regulators and operations personnel. It will explore various strategies, best practices, and other guidelines available for regulators and other tribal gaming personnel in dealing with equipment malfunctions and thefts. Presentation includes classroom participation in workshops.

## **REGISTRATION**

### **REGISTRATIONS MUST BE RECEIVED BY AUGUST 24, 2012**

The NIGC registration form may be submitted electronically (via e-mail) by following instructions at the bottom of the form. Please submit a separate form for each individual attending the training.

Manually completed forms may be faxed to:

Keith Hicks  
National Indian Gaming Commission  
FAX NUMBER: 601-664-3068

Seating is not reserved until the registration form is completed and received by the NIGC. A confirmation of your reserved seat will be sent by the NIGC via the e-mail address provided on the registration form once your registration is complete. Registrations must be received no later than August 24, 2012.

We look forward to meeting with you and presenting the training. If you have any questions about the Training contact either Keith Hicks @601-664-3066 or Cindy Altimus@202-632-7053.

Cindy Altimus  
Region Director  
Division of Compliance

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