



TRAINING ANNOUNCEMENT:

Regional Training Conference- Audit and Compliance Issues

February 12th & 13th, 2013 in Havasu Lake, California

Nu Wvi Park
100 Havasu Lake Drive
Havasu Lake, CA. 92363

Hosted by: Chemehuevi Gaming Commission

The National Indian Gaming Commission, Sacramento Region is offering a two day training conference focused on IGRA, Information Technology MICS, and Gaming Machine regulation. This training will be appropriate for gaming commissioners and staff, as well as, operation managers and staff involved in these areas. Tribal leaders are welcome to attend. A course agenda and brief description of each course of study are included in this announcement for your review.

Good internal controls help assure the accomplishment of an organization's goals and objectives while protecting its employees and assets. Internal controls provide reliable financial reporting for management decisions, and they ensure compliance with applicable laws and regulations. It is important to train compliance personnel so that they have a solid awareness and understanding of internal control standards. Compliance staff that recognizes their role in the organization's internal controls can help prevent problems from occurring and detect issues as they arise.

The course will be held at the Chemehuevi Indian Tribe's conference facilities in Havasu Lake, California and is being hosted by the Chemehuevi Gaming Commission. As always, there is no fee for attendance at this NIGC training course. We do require that each attendee pre-register for the course by visiting the NIGC website and submitting the attached registration form via email to the Sacramento Region office of NIGC. Course attendees are responsible for their own travel and lodging costs and arrangements. For the convenience of our attendees, the Hampton Inn Lake Havasu will be making available a block of guest rooms for this event. To reserve a room at the Hampton Inn Lake Havasu please call 928-855-4071 and request the "NIGC Training" group rate of \$89.90/night. This group rate is guaranteed for reservations made by January 30th, 2013. The rate may be extended after that date depending on room availability, but this is not guaranteed.

The course of instruction will begin on Tuesday, February 12, 2013 promptly at 9:00am and continue until 5:00pm on Wednesday, February 13, 2013. Please arrive early to sign in and receive training materials.



NIGC Regional Training Conference- Audit and Compliance Issues

Tuesday, February 12, 2013:

OGC104 Basic IGRA/Prerequisites to Conduct Gaming

This course provides an overview of the Indian Gaming Regulatory Act to tribal governments, regulators and gaming employees. Critical elements of the Act are identified and discussed with an emphasis on elements that require action on the part of the participants. The course is offered to tribal councils, gaming commissioners and others seeking a basic explanation of what tribes must do before they can start gaming under the Indian Gaming Regulatory Act. It will include a question and answer period designed to address participant's specific questions. Recommended attendees include tribal governments, regulators and gaming employees.

OGC107 The Truth Behind what is and is not Required by NIGC Facility Licensing Regulations

There is much disinformation about what is required by NIGC Facility License regulations. In this course, we will review the purpose of the regulations; NIGC authority to enact them; and the regulations' notification, certification, and submission requirements.

In this course, particular will be spent on the following:

- Differing requirements for new and existing gaming facilities;
- The recognition and respect of tribal sovereignty as to the enactment, implementation, and enforcement of each tribe's environment, public health, and safety laws;
- What a tribe may do in regard to its certification if it is out of compliance with its environment, public health, and safety laws; and
- The potential ramifications of non-compliance with the regulations and/or IGRA's mandate that tribes construct, maintain, and operate their gaming facilities in a manner that adequately protects the environment, health, and public safety.

OGC102 Identifying Potential IGRA Violations

This course is designed to help tribal gaming commissions identify potential violations of the IGRA. Topics of focus can include the following violations: Class III gaming without an approved compact; managing without an approved contract; net revenue uses, including violations of existing revenue allocation plans or net revenue allocation to members without the benefit of a revenue allocation plan; sole proprietary interest; MICS; background investigations; and audit and fee submissions.

A260 IT MICS in the Server Based, Server Assisted and Remote Gaming Environment

We will discuss the role of MICS in addressing risks present in a server-associated environment. At a general level, the functionality of the systems will be reviewed with emphasis on why the IT department is now moving onto the gaming floor. Furthermore, the need for strict controls over access by external parties, particularly remote access, will be highlighted. Attendees will gain an enhanced appreciation for the heightened level of risk associated with server-based, server-assisted and remote gaming.



Wednesday, February 13, 2013:

E201 Electronic Gaming Machine (EGM) 101

A course designed for tribal gaming regulators and operations personnel. It will explore various components of EGMs and other regulatory subject matter such as Accounting/Event Meters, EGM Report Capabilities, PAR Sheet analysis, Random Number Generator, and many other topics.

E206 Gaming Forensics

A course offered to tribal gaming regulators and operations personnel. It will explore various strategies, best practices, and other guidelines available for regulators and other tribal gaming personnel in dealing with equipment malfunctions and thefts.

Registration

Interested individuals are required to complete the attached Registration and submit via the email button on the form.

In addition, registration forms may be submitted via fax to:

Compliance Officer Alan Phillips
NIGC
801 I Street, Suite 489
Sacramento, CA 95814

Fax: 916 414 2310

Seating is not reserved until the Registration form is completed and received by the NIGC Sacramento Region Office. A notification verifying receipt and completed registration will be sent to the attendee approximately ten days prior to the training course.

We are looking forward to meeting you and presenting the training. If you have any questions about the Training Conference contact us at 916 414 2300.

Eric Schalansky
Region Director
Sacramento Region



National Indian Gaming Commission
Chemehuevi Gaming Commission
Region Training Conference
2/12/2013 and 2/13/2013

Day 1 Tuesday, February 12, 2013

Time	Description	Instructor
9:00 AM	OGC 104 Basic IGRA	Heather Corson
to	OGC 107 Facility License Regulations	and
12:00 PM	OGC 102 Potential IGRA Violations	John Hay
12:00 PM - 1:00 PM	<i>Lunch</i>	
1:00 PM - 2:15 PM	A260 IT MICS Server-based environment	Michael Curry
2:15 PM - 2:30 PM	<i>Break</i>	
2:45 PM - 3:45 PM	A260 IT MICS Server-based environment	
3:45 PM - 3:55 PM	<i>Break</i>	
3:55 PM - 5:00 PM	A260 IT MICS Server-based environment	

Day 2 Wednesday, February 13, 2013

Time	Description	Instructor
8:00 AM - 9:30 AM	E201 Electronic Gaming Machine 101	Nimish Purohit
9:45 AM - 10:00 AM	<i>Break</i>	
10:00 AM - 12:00 PM	E201 Electronic Gaming Machine 101	Nimish Purohit
12:00 PM - 1:00 PM	<i>Lunch</i>	
1:00 PM - 2:15 PM	E206 Gaming Forensics	Nimish Purohit
2:15 PM - 2:30 PM	<i>Break</i>	
2:45 PM - 3:45 PM	E206 Gaming Forensics	Nimish Purohit
3:45 PM - 3:55 PM	<i>Break</i>	
3:55 PM - 5:00 PM	E206 Gaming Forensics	Nimish Purohit

Thank you for coming!